

SYSTEM FOR TREATING AND PREVENTING BREAST CANCER

CROSS REFERENCE TO RELATED APPLICATIONS

[001] The present application claims benefit under 35 U.S.C. 119(e) of U.S. Provisional Application No. 60/519,427, filed November 12, 2003, the contents of which are incorporated herein by reference in their entirety.

FIELD OF THE INVENTION

[002] The present invention relates to the field of immunizations and the use of targeted immunotherapy to treat breast cancer, and/or effect progression of such cancer. The present invention also relates to vectors and methods involving human cancer immunotherapy.

BACKGROUND OF THE INVENTION

[003] Breast cancer is a disease that kills over 45,000 women each year in the United States alone. Over 180,000 new cases of breast cancer are diagnosed annually and one out of eight women are estimated to develop breast cancer annually. However, the diagnosis "breast cancer" comprises a number of genetically diverse cancer cells. As a result, different breast tumors have different prognosis and respond differently to treatment regimes. For example, breast tumors expressing HER-2/neu have worse prognosis than tumors which do not express HER-2/neu. Moreover, because of known genetic instability, the gene expression pattern of the cancer cells changes during different stages of the disease, as well as in response to treatment regimens.

[004] At the present, a pathologist classifies tumor cells according to their immunohistological patterns and using cDNA microarrays and other gene expression measuring methods, and/or by mutation detection methods, all of which are commercially available. The information is used to classify tumors and in some instances to provide prognosis for patients with the tumor. However, in only a few

treatment methods is this classification of any practical use when determining treatment regimes, because the majority of the therapeutic methods currently available are non-discriminatory and directed generally against rapidly diving cells. Currently, an individual diagnosed with breast cancer may be treated with surgery, hormone therapy, chemotherapy, and/or radiation. If the patient develops metastatic disease, radiation and high dose chemotherapy are required to ablate the cancer in remote areas such as the brain, bone, and liver. The majority of therapies currently available for the treatment of breast cancer are toxic, dangerous, unspecific, costly, and many are ineffective, especially in the treatment of metastatic disease.

[005] Therefore, while accuracy in diagnosis of specific types of breast cancer has improved significantly, only a few treatments can presently be custom-designed to meet the specific needs of an individual patient, by taking into account the differences in the expression profile and/or mutations in the different types of cancer cells. For example, breast cancer wherein the cancer cells express increased number of estrogen receptors is responsive to treatment with estrogen receptor blockers such as Tamoxifen, whereas cancer with cells not having excessive number of estrogen receptors will not respond to such treatment.

[006] As a result of advances in genetics, a number of genes involved in different types of breast cancer have already been identified, including BRCA1 (Online Mendelian Inheritance of Man (OMIM) #113705), BRCA2 (OMIM #600185), BRCATA (OMIM #600048), BRCA3 (OMIM #605365), BWSCR1A (OMIM #602631), the TP53 gene (OMIM #191170), the BRIP1 gene (OMIM #605882), and the RB1CC1 gene (OMIM #606837) on 8q11. Mutations in the androgen receptor gene (AR; OMIM #313700) on the X chromosome have been found in cases of male breast cancer (OMIM #313700.0016). A mutation in the RAD51 gene (OMIM #179617) was found in patients with familial breast cancer (OMIM #179617.0001). The 1100delC allele of the CHEK2 gene (OMIM #604373.0001) has been shown to confer an increased susceptibility to breast cancer in women and especially in men. Further, the NCOA3 (OMIM #601937) and ZNF217 (OMIM #602967) genes, located on 20q, undergo amplification in breast cancer; when overexpressed, these genes confer cellular phenotypes consistent with a role in tumor formation (Anzick et al., *Science* 277: 965-968, 1997; Collins et al.,

Proc. Nat. Acad. Sci. 95: 8703-8708, 1998). Furthermore, the PPM1D gene (OMIM #605100) on 17q is commonly amplified in breast cancer and appears to lead to cell transformation by abrogating p53 (OMIM #191170) tumor suppressor activity (Bulavin et al., Nature Genet. 31: 210-215, 2002). Therefore, it would be advantageous to develop a treatment system which could utilize the specific information which can be obtained from the genetic and expression analysis.

Moreover, it would be useful to develop a system which could additionally be adapted to treat all different types of breast cancers. Such a system would be less toxic and provide a more effective treatment than the non-specific regimes available to date.

[007] One recent approach to the treatment of cancer is immunotherapy, which is based on the observation that human tumor cells express a variety of tumor-associated antigens (TAAs) that are not expressed or are minimally expressed in normal tissues. These antigens, which include viral tumor antigens, cellular oncogene proteins, and tissue-specific differentiation antigens, can serve as targets for the host immune system and elicit responses that result in tumor destruction. This immune response is mediated primarily by lymphocytes; T cells in general and class I MHC-restricted cytotoxic T lymphocytes in particular play a central role in tumor rejection. Unfortunately, as evidenced by the high incidence of cancer in the population, the immune response to neoplastic cells often fails to eliminate tumors. The goal of active cancer immunotherapy is to augment anti-tumor responses, particularly T cell responses, in order to more effectively result in tumor reduction.

[008] Most attempts at active immunization against cancer antigens have utilized whole tumor cells or tumor cell fragments as immunogens. However, this approach does not afford reproducibility, or control over the precise antigens included in each immunization.

[009] The cloning of genes encoding tumor associated antigens has opened new possibilities for the immunotherapy of cancer based on the use of recombinant or synthetic anti-cancer vaccines. Tsang et al., J. Natl. Cancer Inst. 87: 982-90 (1995); Kawačami et al., Proc. Natl. Acad. Sci. USA 91:6458-62 (1994). In recent years, much effort has been expended on "gene therapy" as a means of combating cancer. The term "gene therapy" has been used to describe a wide variety of methods using recombinant biotechnology techniques to deliver a variety of different materials to a

cell. Such methods include, for example, the delivery of a gene, antisense RNA, a cytotoxic agent, etc., by a vector to a mammalian cell, preferably a human cell either in vivo or ex vivo. Most of the initial work has focused on the use of retroviral vectors to transform these cells. This focus has resulted from the ability of retroviruses to infect cells and have their genetic material integrated into the host cell with high efficiency. The retroviral vector is typically a modified virus such as Moloney Murine Leukemia Virus (MMLV), which has had its packaging sequences deleted to prevent packaging of the entire retroviral genome.

[010] However, numerous difficulties with retroviruses have been reported. One problem that has developed was initially seen as a key advantage of retroviruses, mainly their ability to integrate into the chromosome. However, such integration can be problematic, depending on the chromosomal site of viral insertion. A number of other viruses that were initially believed to be largely episomal in nature such as adenoassociated virus (AAV) have also turned out to have this property. While advantageous in causing long-term expression, it also provides the potential for problems such as undesirable cellular transformation. The stable transformation of a patient's somatic cells makes it difficult to reverse the treatment regimen if undesirable side effects dictate that it should be stopped.

[011] Problems have also been encountered in infecting certain cells. Retroviruses typically enter cells through cell surface receptors. If such receptors are not present on the cell, or not present in sufficient numbers, then infection may not be possible or may be inefficient. These viruses are also relatively labile in comparison to other viruses. Outbreaks of wild-type virus from recombinant virus-producing cell lines have also been reported, with the vector itself causing a disease. Moreover, many of these viruses only allow gene expression in dividing cells. Viral vectors based upon lentiviruses such as the Human Immunodeficiency Virus (HIV) do not have these problems, but concerns remain about using such viruses as vectors.

[012] Other viruses have been proposed as vectors, such as herpes virus. In addition, various non-viral vectors such as ligand-DNA-conjugates have been proposed. Nevertheless, these approaches all pose certain problems. For example, a vector must not itself become a potential source for infection to the individual treated. However, as already mentioned, outbreaks of wild-type retroviruses have been

reported in some cell lines. Similarly, the use of herpes virus as a vector has been found to result in persistence of the virus. Furthermore, many of these vectors can contain and express only a relatively small amount of genetic material. This is undesirable for numerous situations in which the ability to express multiple products is preferred.

[013] Poxviruses have been used for many years as vectors, particularly with respect to providing a foreign antigen or self antigen to generate an immune response in a host. The advantages of the poxvirus vectors include: (i) ease of generation and production; (ii) the large size of the genome permitting insertion of multiple genes, (iii) efficient delivery of genes to multiple cell types, including antigen-presenting cells; (iv) high levels of protein expression; (v) optimal presentation of antigens to the immune system; (vi) the ability to elicit cell-mediated immune responses as well as antibody responses; and (vii) the long-term experience gained with using this vector in humans as a smallpox vaccine.

[014] Attention has focused on orthopox such as the Wyeth strain, NYVAC (U.S. Patent No. 5,364,773) and modified vaccinia Ankara (MVA). MVA was derived from the Ankara vaccinia strain CVA-1 which was used in the 1950s as a smallpox vaccine. In 1958, attenuation experiments were initiated in the laboratory of Dr. Anton Mayr (University of Munich) comprising terminal dilution of CVA in chicken embryo fibroblast (CEF) cells that ultimately resulted in over 500 passages. The resulting MVA is an attenuated, replication-defective virus, which is restricted to replication primarily in avian cells. Comparison of the MVA genome to its parent, CVA, revealed 6 major deletions of genomic DNA (deletion I, II, III, IV, V, and VI), totaling 31,000 basepairs. (Meyer et al., J. Gen. Virol. 72:1031-8 (1991)). MVA has been administered to numerous animal species, including monkeys, mice, swine, sheep, cattle, horses and elephants with no local or systemic adverse effects. Over 120,000 humans have been safely vaccinated with MVA by intradermal, subcutaneous or intramuscular injections. MVA has also been reported to be avirulent among normal and immunosuppressed animals (Mayr et al., Zentralb. Bakteriol. 167:375-90 (1978)). Accordingly, in addition to utility as a smallpox vaccine, the more attenuated strains are attractive poxviruses for use as vectors for immune modulation and gene therapy.

[015] Consequently, poxviruses can be genetically engineered to contain and express foreign DNA with or without impairing the ability of the virus to replicate. Such foreign DNA can encode protein antigens that induce an immune protection in a host inoculated with such recombinant poxvirus. For example, recombinant vaccinia viruses have been engineered to express immunizing antigens of herpes virus, hepatitis B, rabies, influenza, human immunodeficiency virus (HIV), and other viruses (Kieny et al., *Nature* 312:163-6 (1984); Smith et al., *Nature* 302: 490-5 (1983); Smith et al., *Proc. Natl. Acad. Sci. USA* 80:7155-9 (1983); Zagury et al., *Nature* 326:249-50 (1987); Cooney et al., *Lancet* 337:567-72 (1991); Graham et al., *J. Infect. Dis.* 166:244-52 (1992). Recombinant vaccinia viruses have also been shown to elicit immune responses against influenza virus, dengue virus, respiratory syncytial virus, and human immunodeficiency virus. Poxviruses have also been used to generate immune reactions against tumor-associated antigens such as CEA, PSA and MUC. See also U.S. Patent No. 5,656,465.

[016] There remains a need for improved treatments for breast cancer. It would be particularly advantageous to develop a treatment system which could utilize the specific information regarding tumor associated antigens expressed in different breast cancers. Moreover, it would be useful to develop a system which could be adapted to treat all different types of breast cancers, including a system which could be adapted or tailored to treat a specific individual.

SUMMARY OF THE INVENTION

[017] We have now discovered a new method for treating breast cancer in humans, involving the use of recombinant poxviruses containing breast cancer associated antigens (BCAA) and immune modulating molecules. Accordingly, the present invention provides a system for screening individuals to identify those at risk of developing or suffering from breast cancer; preferably, the screening includes identifying specific breast cancer associated antigens expressed by the individual. Preferably, the subject is a human. The system also comprises administering to that individual at risk from developing or suffering from breast cancer at least a first recombinant poxvirus (or poxvirus system), and at regular intervals thereafter administering at least a second recombinant poxvirus (or poxvirus system), wherein

the recombinant poxviruses comprise at least one gene encoding a breast cancer associated antigen. Preferred breast cancer associated antigen include CEA and MUC-1, and variants thereof including wCEA(6D) and wMUC-1(6). Other preferred variants have sequence changes to create a more immunogenic CTL epitope and/or a nucleic acid modification to reduce excision of nucleic acid sequences during homologous recombination resulting in a more stable gene. Preferably, the second recombinant poxvirus is from a different genus than the first recombinant poxvirus. The genes encoding the breast cancer associated antigens are preferably inserted into a nonessential region of the poxvirus genome. Preferred poxviruses include orthopox, such as vaccinia, and avipox, such as fowlpox and canary pox. A poxvirus system is when one uses more than one poxvirus vector to contain all the desired BCAAs and immune modulating molecules.

[018] The invention also provides co-administration of granulocyte macrophage-stimulating factor (GM-CSF) with the recombinant poxviruses, as well as co-administration of immune modulating molecules, such as at least one co-stimulatory molecule, such as LFA-3, ICAM-1, and B7.1. Preferably, one uses a combination of LFA-3, ICAM-1, and B7.1 (TRICOM™).

[019] The system of the present invention provides a novel treatment tool which can be adapted to target different malignant cell types using the same principal building blocks to enable an efficient yet targeted regime to treat the tumors of the breast. The system of the present invention allows the treatment to be tailored to the particular individual and disease state. In one embodiment, the breast cancer antigen used can be tailored to the individual patient by determining what breast cancer antigens are being expressed at high levels in the patient's cancer cells. Suitable breast cancer antigens can include MAGE-3, MAGE-6, NY-ESO-1, Her2neu and p53, as well as newly-defined breast cancer antigens, e.g. kinesin 2, TATA element modulatory factor 1, tumor protein D52 and MAGE D, and additional new gene products, e.g., NY-BR-62, NY-BR-75, NY-BR-85, and NY-BR-96. Alternatively, or in addition to, the type and present stage of the breast cancer can be evaluated using histochemical, immunohistochemical and genetic methods. This data can give additional information about the antigen expression that can be anticipated as the disease progresses. Such additional antigens can be included in the poxvirus vector.

[020] Due to their large genome, the recombinant poxviruses according to the present invention can be constructed as a single vehicle to deliver all of the therapeutically necessary molecules, including breast cancer associated antigens and/or co-stimulatory molecules, in the same vector.

[021] In one embodiment, the system comprises administering to an individual an initial "prime" with a composition containing one or more recombinant poxvirus, followed by one or preferably multiple "boosts" with a composition containing one or more poxvirus vectors.

[022] The initial priming vaccination may comprise one or more poxvirus vectors. In one preferred embodiment, a single poxvirus vector is used for delivery of the PTAAAs and co-stimulatory molecules. In another embodiment, two or more poxvirus vectors comprise the priming vaccination, which are administered simultaneously in a single injection. For example, simultaneously administering to a host an admix of two poxvirus vectors, at least one of which is replication competent. If both vectors are replication defective, then not as many cells will get transduced by both viruses. One example of an admix strategy is to use a first vector comprising a DNA encoding at least one breast cancer associated antigen and a second vector comprising a DNA encoding at least one, preferably three, co-stimulatory molecules such as TRICOM.

[023] The boosting vaccinations may also comprise one or more poxvirus vectors. In one preferred embodiment, a single poxvirus vector is used for delivery of the PTAAAs and co-stimulatory molecules of the boosting vaccination. In another embodiment, two or more poxvirus vectors comprise the boosting vaccination, which are administered simultaneously in a single injection, as described above as the admix strategy.

[024] In one preferred embodiment, different poxviruses can be used to provide a heterologous prime/boost protocol using pox vectors carrying different sets of therapeutic molecules, for inoculations at different time intervals. One preferred heterologous prime/boost combination is priming with a first orthopox vector composition and boosting with a second avipox vector composition. The boosting vector can be administered every 2-4 weeks, for example, for a total of at least 5-15 boosting vaccinations. For example, a protocol of 3 administrations of vaccinia at a

regular, e.g., monthly, intervals followed by multiple administrations of fowlpox at regular intervals, e.g., monthly. In one preferred embodiment, the orthopox is vaccinia, more preferably a vaccinia such as Wyeth or MVA or NYVAC. One can also add other components to the protocol such as further boosting or priming administration of DNA or protein. In an alternative embodiment, the genes can be in multiple poxvirus vectors that are administered at about the same time, rather than in a single vector.

[025] The breast cancer antigen used is preferably tailored according to the needs of an individual patient by determining what antigens are being expressed at high levels in the patient's cancer cells. The type and present stage of the breast cancer is evaluated using, for example histochemical and/or immunohistochemical methods and/or transcription pattern and/or mutation analysis of the cancer cells.

[026] Any poxvirus can be used with the present invention. Attenuated or replication-impaired poxviruses such as avipox, swine pox, and orthopox are preferred. In one preferred embodiment, the pox vector is vaccinia Wyeth, MVA, or NYVAC.

[027] In another embodiment, the recombinant poxvirus encoding the breast-cancer associated antigen(s) also encodes at least one co-stimulatory molecule. Co-stimulatory molecules are known in the art and include B7 and other CD4+ and CD8+ activators. In a preferred embodiment, the co-stimulatory molecule is a combination of nucleic acids encoding B7 (e.g. B7-1), ICAM-1, and LFA-3, which induce activation of both CD4+ and CD8+ T cells. In one preferred embodiment, a nucleic acid encoding OX40L is added to the poxvirus vector in addition to the TRICOM combination.

[028] In one preferred embodiment, both the nucleic acids encoding the selected breast cancer associated antigen(s) and the co-stimulatory molecule(s) are inserted into the same poxvirus vector.

[029] In alternative embodiments, the nucleic acids encoding the tumor antigen(s) and co-stimulatory molecule(s) are inserted into two different poxvirus vectors. The first and second poxvirus vectors can be from different genera, allowing consecutive inoculations of the two recombinant vectors without invoking the potential host immune reaction against the viral vector. The first and second

recombinant poxvirus vectors can be administered to the individual in need thereof simultaneously or at intervals ranging from hours to days or even weeks.

[030] For example, one embodiment of the system uses a prime/boost tailored to the disease state. For example, the prime may be directed to a wide range of breast cancer associated antigens, taking advantage of the ability of the pox genome to accommodate multiple foreign genes. The boost may be directed to antigens specific for the particular stage of the disease. For example, by monitoring disease progression one could tailor a boost to generate a specific immune reaction reflecting the antigen expression for that particular stage of the disease.

[031] In one preferred embodiment, the tumor antigen encoding recombinant poxvirus vector is administered first and the TRICOM or TRICOM and OX40L are administered consequently. In another embodiment the co-stimulatory molecule(s) containing recombinant poxvirus vector is administered before administering the antigen(s) containing poxvirus vector.

[032] In a preferred embodiment, GM-CSF is administered to the patient before the initial antigen administration. GM-CSF may be administered using a viral vector such as a pox vector or an isolated protein in a pharmaceutical formulation. Several forms of recombinant GM-CSF drug are available worldwide, including sargramostim, which is sold under the LEUKINE® brand in the United States by Berlex, Inc.

[033] In one preferred embodiment, the poxvirus vectors of the present invention are used in combination with chemotherapy. In one preferred embodiment, the chemotherapy agent is docetaxel.

[034] In one embodiment, the system of the present invention comprises a method of treating breast cancer, comprising expression profiling a tumor cell sample obtained from an individual, administering a recombinant poxvirus vector comprising a first set of tumor antigens and/or co-stimulatory molecules, selected based upon the analysis of the tumor cells/type at the first stage of the treatment, and administering said vectors to the individual. In a further embodiment, the possible remaining tumor cells are expression profiled, to construct a second recombinant poxvirus expressing a second set of tumor-associated antigens and/or co-stimulatory molecules for administration to the individual.

[035] In one preferred embodiment, the invention provides a system of preventing or delaying the onset of breast cancer in an individual with an increased risk of developing breast cancer, for example due to a hereditary mutation predisposing the individual to breast cancer (e.g., one identified using a breast cancer screening test). The system comprises administering to the individual with a predisposition to breast cancer a recombinant poxvirus vector encoding at least one tumor antigen specific for the predisposing factor and/or one or more co-stimulatory molecules. In a preferred embodiment, the tumor antigen and co-stimulatory molecules are encoded by the same recombinant poxvirus vector. Alternatively, a system comprising two or more poxvirus vectors can be used.

[036] In one embodiment, the present invention provides a kit comprising one or more recombinant poxvirus vectors each encoding at least one co-stimulatory molecule in a pharmaceutically acceptable carrier and one or more recombinant poxvirus vectors encoding at least one breast cancer associated antigen in a pharmaceutically acceptable carrier. The kit further comprises instructions about what combination of the "ready-to-go" recombinant poxviruses is appropriate to treat different types and stages of breast cancer. The kit alternatively also comprises a diagnostic component comprising, for example, a mutation detection DNA chip and/or an expression pattern measuring cDNA chip to enable determination of the type and/or stage of the breast cancer from a biological sample obtained from the individual in need of treatment.

BRIEF DESCRIPTION OF THE DRAWINGS

[037] Figure 1 shows the restriction endonuclease map of plasmid pT2137, which was used for the generation of the rV-MUC-1 recombinant vaccine.

[038] Figure 2 shows the rV-MUC-1 vector schematic. pT2137 directs insertion of the MUC-1 coding sequence into the TK gene, which is located in the Hind III J region of the vaccinia genome. The MUC-1 gene is under the transcriptional control of the vaccinia 40K promoter. In addition, the *E. coli lacZ* gene, under the control of the fowlpox virus C1 promoter, is included as a screen for recombinant progeny. A plaque-purified isolate from the Wyeth (New York City Board of Health) strain of vaccinia was used as the parental virus for this recombinant vaccine. *In vivo* recombination between the plasmid vector and the viral DNA resulted in the

formation of a recombinant virus in which the vaccinia TK gene sequence was interrupted by the MUC-1 gene, under the transcriptional direction of the 40K promoter, and the *lacZ* gene, under the control of the C1 promoter.

[039] Figure 3 shows the restriction endonuclease map of plasmid pT8016, which was used for the generation of the rV-CEA(6D)/TRICOM recombinant vaccine.

[040] Figure 4 shows the rV-CEA(6D)/TRICOM vector schematic. rV-CEA(6D)/TRICOM was constructed via homologous recombination *in vivo* between the parental vaccinia DNA and a plasmid vector, pT8016 (see Figure 3), that contains the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. The plasmid vector also carries the *E. coli lacZ* gene, which was simultaneously inserted into the recombinant genome with the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. A chromogenic assay for β -galactosidase, encoded by the *lacZ* gene, was used to select the final vaccine candidate, which was verified by genomic and protein expression analysis.

[041] Figure 5 shows the restriction endonuclease map of plasmid pT2187, which was used for the generation of the rF-CEA(6D)/TRICOM recombinant vaccine.

[042] Figure 6 shows the rF-CEA(6D)/TRICOM vector schematic. rF-CEA(6D)/TRICOM was constructed via homologous recombination *in vivo* between the parental fowlpox DNA and a plasmid vector that contains the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. The plasmid vector also carries the *E. coli lacZ* gene, which was simultaneously inserted into the recombinant genome with the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. A chromogenic assay for β -galactosidase, encoded by the *lacZ* gene, was used to select the final vaccine candidate, which was verified by genomic and protein expression analysis.

[043] Figure 7 shows the nucleotide sequence of wobbled MUC-1 used in vectors of the present invention, including PANVAC-VF, also known as wMUC-1(6), as SEQ ID NO:1.

[044] Figure 8 shows the amino acid sequence of wobbled MUC-1 used in vectors of the present invention, including PANVAC-VF, also known as wMUC-1(6), as SEQ ID NO:2.

[045] Figure 9 shows the nucleotide sequence of wobbled CEA used in vectors of the present invention, including PANVAC-VF, also known as wCEA(6D), as SEQ ID NO:3.

[046] Figure 10 shows the amino acid sequence of wobbled CEA used in vectors of the present invention, including PANVAC-VF, also known as wMUC-1(6), as SEQ ID NO:4.

[047] Figure 11 shows the PANVAC-F recombinant vector schematic. To generate PANVAC-F, two plasmid vectors were used. The first plasmid, designated pT1154, directs insertion of the wCEA(6D) and wMUC-1(6) coding sequences into the FP14 region of the fowlpox virus genome. The second plasmid, designated pT8150, directs insertion of the LFA-3, ICAM-1, and B7.1 coding sequences (collectively known as TRICOM) into the BamH I J region of the fowlpox virus genome. The wCEA(6D) gene is under the transcriptional control of the vaccinia 40K promoter. The wMUC-1(6) gene is under the transcriptional control of the synthetic early/late (sE/L) promoter. The LFA-3 gene is under the transcriptional control of the vaccinia 30K promoter, the ICAM-1 gene is under the transcriptional control of the vaccinia I3 promoter, and the B7.1 gene is under the transcriptional control of the synthetic early/late (sE/L) promoter. In addition, pT1154 contains the *E. coli lacZ* gene, under the control of the vaccinia 40K promoter, which is included as a screen for recombinant progeny, and pT8150 contains the *GUS* gene, under the control of the 7.5 promoter, for use in screening for recombinant progeny.

[048] Figure 12 shows the PANVAC-V recombinant vector schematic. A derivative of the Wyeth (New York City Board of Health) strain of vaccinia was used as the parental virus for this recombinant vaccine, called TBC-vTRICOM. This parental virus, designated TBC-vTRICOM, contains the LFA-3, ICAM-1, and B7.1 coding sequences inserted in the Hind III F region of the vaccinia genome. Recombination between the plasmid vector and the viral DNA resulted in the formation of a recombinant virus in which the wCEA(6D) gene, under the transcriptional control of the vaccinia 40K promoter, the wMUC-1(6) gene, under the transcriptional control of the sE/L promoter, and the *lacZ* gene, under the control of the 40K promoter, were inserted into the Hind III J region of the vaccinia virus genome. The inserted *E. coli lacZ* gene was flanked by duplicated pox virus

sequences. Intramolecular recombination between these sequences resulted in deletion of the *lacZ* gene. Recombinant viruses from which the *lacZ* gene was deleted gave rise to colorless plaques which were selected and plaque-purified. The final purified recombinant pox virus contained only the desired genes encoding the CEA, MUC-1, LFA-3, ICAM-1 and B7.1 proteins and no marker (*lacZ*) gene.

[049] Figure 13 shows the restriction endonuclease map of plasmid pT1153, which was used for the generation of the PANVAC-V recombinant vaccine. The plasmid vector pT1153 directs insertion of modified CEA and MUC-1 coding sequences into the Hind III J region of the TBC-vTRICOM virus genome. The modified CEA gene, designated wCEA(6D), is under the transcriptional control of the vaccinia 40K promoter, and the modified MUC-1 gene, designated wMUC-1(6), is under the transcriptional control of the synthetic early/late (sEL) promoter. The plasmid also contains a portion of the vaccinia Hind III J region that encodes the thymidine kinase (TK) gene. In addition, the *E. coli lacZ* gene, under the control of the vaccinia 40K promoter, is included as a transient screen for recombinant progeny.

[050] Figure 14 shows the derivation of PANVAC-V parental virus, TBC-vTRICOM. This virus was derived from the Wyeth vaccine strain. First, the Wyeth vaccine was plaque purified by Flow Laboratories and then expanded on CV-1 cells, to create TBC-Wy. Next, plasmid pT1068 was inserted using CV-1 cells, to delete F13L (37K), creating TBC-Wy-Delta37. LFA-3, ICAM-1, B7.1 and F13L were then inserted into this virus using plasmid pT5132 on CED cells, creating TBC-vTRICOM.

[051] Figure 15 shows a broad overview of typical treatment options for each stage of breast cancer, including the estimated number of new cases for 2004 and the estimated 5-year survival rates (based on the SEER Cancer Statistics Review, 1975 – 2001, NCDB, CoC, ACoS, American Cancer Society, AJCC Cancer Staging Manual, Fifth edition).

DETAILED DESCRIPTION OF THE INVENTION

[052] We have now discovered a new method for treating breast cancer in humans, involving the use of recombinant poxviruses containing breast cancer associated antigens and immune modulating molecules. Accordingly, the present invention provides a system for screening individuals to identify those at risk of

developing or suffering from breast cancer; preferably, the screening includes identifying specific breast cancer associated antigens expressed by the individual. Preferably, the subject is a human. The system also comprises administering to that individual at risk from developing or suffering from breast cancer at least a first recombinant poxvirus, and at regular intervals thereafter administering at least a second recombinant poxvirus, wherein the recombinant poxviruses comprise at least one gene encoding a breast cancer associated antigen. Preferred breast cancer associated antigen include CEA and MUC-1, and variants thereof including wCEA(6D) and wMUC-1(6). Preferred variants have sequence changes to create a more immunogenic CTL epitope and/or changes in the nucleic acid sequence to reduce excision during homologous recombination. Preferably, the second recombinant poxvirus is from a different genus than the first recombinant poxvirus. The genes encoding the breast cancer associated antigens are preferably inserted into a nonessential region of the poxvirus genome. Preferred poxviruses include orthopox, such as vaccinia, and avipox, such as fowlpox and canary pox. Suitable breast cancer antigens can include MAGE-3, MAGE-6, NY-ESO-1, Her2neu and p53, as well as newly-defined breast cancer antigens, e.g. kinesin 2, TATA element modulatory factor 1, tumor protein D52 and MAGE D, and additional new gene products, e.g., NY-BR-62, NY-BR-75, NY-BR-85, and NY-BR-96.

[053] The invention also provides co-administration of an adjuvant such as GM-CSF (e.g., sargramostim) with the recombinant poxviruses, as well as co-administration of immune modulating molecules, such as at least one co-stimulatory molecule, such as LFA-3, ICAM-1, and B7.1. Preferably, one uses the combination of LFA-3, ICAM-1, and B7.1 (TRICOM).

[054] In a preferred embodiment, the poxvirus comprising at least one nucleic acid encoding a breast cancer associated antigen also encodes at least one co-stimulatory or immunostimulatory molecule. Alternatively, the co-stimulatory molecule(s) and the antigen(s) are encoded by a pox vector system comprise multiple recombinant poxvirus vectors.

[055] The system of the present invention provides a novel treatment tool which can be adapted to target different malignant cell types using the same principal building blocks to enable an efficient yet targeted regime to treat the tumors of the

breast. The system of the present invention allows the treatment to be tailored to the particular individual and disease state. In one embodiment, the breast cancer antigen used can be tailored to the individual patient by determining what breast cancer antigens are being expressed at high levels in the patient's cancer cells. Different breast cancer associated antigens are abnormally expressed or expressed at abnormally high levels in the different individual's cancerous or precancerous cells. The antigens useful according to the system of the present invention can also be selected according to the type and/or stage of the patient's breast cancer cells. In addition, the antigen's expressed by an individual can change as the disease progresses. The type and present stage of the breast cancer can be evaluated using histochemical, immunohistochemical and genetic methods. This data can give additional information.

[056] Thus, vectors can be tailored to reflect those antigens expressed by the individual patient's expressed breast cancer antigens, the stage of breast cancer, and/or the expressed antigens as a patient's disease progresses. Generally, pharmacogenetic and pharmacogenomic as well as immunohistochemical data from the individual's cells are used to determine the selection of preferred breast cancer associated antigens in the system of the present invention. In one preferred embodiment, the patient has more than one type of cancer, and the appropriate antigens are selected based upon the specific cancer expressed by the patient.

Breast Tumor Associated Antigens

[057] Any breast cancer associated antigen can be used in the present vector system. There are numerous antigens that are associated with breast cancer, which are referred to herein as breast cancer associated antigens (BCAAs), or TAAs. Particularly preferred breast cancer associated antigens include CEA and MUC-1, as well as other mucins such as mini-MUC and MUC-4.

[058] Suitable breast cancer antigens can include MAGE-3, MAGE-6, NY-ESO-1, Her2neu and p53, as well as newly-defined breast cancer antigens, e.g. kinesin 2, TATA element modulatory factor 1, tumor protein D52 and MAGE D, and additional new gene products, e.g., NY-BR-62, NY-BR-75, NY-BR-85, and NY-BR-96.

[059] Some preferred breast cancer associated antigens useful according to the present invention include, but are not limited to, mini-MUC (mini-MUC refer to variants of MUC where the number of tandem repeat units have been reduced); MUC-1 (Marshall et al., *J. Clin. Oncol.* 18:3964-73 (2000); HER2/neu; HER2 receptor (US 5,772,997); gammaglobulin (US 5,922,836); labyrinthin (US 6,166,176); SCP-1 (US 6,140,050); NY-ESO-1 (US 6,1400,50); SSX-2 (US 6,140,050); N-terminal blocked soluble cytokeratin (US 4,775,620); 43 kD human cancer antigen (US 6,077,950); human tumor associated antigen (PRAT) (US 6,020,478); human tumor associated antigen (TUAN) (US 5,922,566); L6 antigen (US 5,597,707); carcinoembryonic antigen (RT- PCR analysis for breast cancer prognosis in *Clin Cancer Res* 6:4176-85, 2000); polyadenylate polymerase (PAP) (independent unfavorable prognostic factor in *Cancer Res* 60:5427-33, 2000); p53 (*Clin Cancer Res* 6:3103-10, 2000) mdm-2 (*Clin Cancer Res* 6:3103-10, 2000); p21 (*Clin Cancer Res* 6:3103-10, 2000); CA15-3 (Eur J Gynaecol Oncol 21:278-81, 2000); oncoprotein 18/stathmin (Op18) (Br J. Cancer 83:311-8, 2000); human glandular kallikrein (hK2) (Breast Cancer Res Treat 59:263-70, 2000); NY-BR antigens (Cancer Immun. Mar 30;1:4, 2001), tumor protein D52 (Cancer Immun. Mar 30;1:4, 2001), and prostate-specific antigen (Breast Cancer Res Treat 59:263-70, 2000). Still other preferred breast cancer associated antigens include telomerase peptide, B899, and STn antigens, gammaglobulin, CA 15-3, CA 125, NY-ESO1, and Cytokeratin fragment 19 (CYFRA 21-1).

[060] In addition, the number of antigens associated with breast cancer is constantly increasing, particularly given advances using genomic profiling techniques. For example, *Epst1* has been identified as a gene induced by epithelial-stromal interaction in human breast cancer (US Patent Application publication no. 20040219551, published November 4, 2004). Similarly, gene expression profiles of human breast cancer tissue has been used to identify groups of genes, for example 50 genes, which are upregulated in breast cancer cells (see e.g. US Patent Application publication no. 20040214179, published October 28, 2004; US Patent Application publication no. 20040209290, published October 21, 2004). Any antigen associated with breast cancer can be used in the poxvirus vectors of the present invention.

[061] In one embodiment, the vector system of the present invention has at least one breast cancer associated antigen. For example, MUC-1 or CEA. Even more

preferably, at least two breast cancer associated antigens. For example, MUC-1 and CEA.

[062] One can use a single pox vector to express CEA, MUC-1, mini-MUC, etc., if desired, or the breast cancer associated antigens can be expressed on multiple vectors. One can also express multiple copies of certain breast cancer associated antigens, either in the same vectors or in multiple vectors.

[063] One particularly preferred breast cancer associated antigen is CEA. CEA is an oncofetal glycoprotein that is expressed at high levels on the surface of nearly all tumors of the gastrointestinal tract, including human colorectal, gastric, and breast carcinomas, as well as on many mammary carcinomas and lung adenocarcinomas. Muraro et al., *Cancer Res.* 45:5769-89 (1985). In one embodiment, the CEA is a full-length CEA. A particularly preferred CEA has a modification in one HLA-A2 restricted, immunodominant epitope. This epitope, designated CAP1-6d, or sometimes CEA-6D, binds with enhanced affinity to the receptor and induces CTL *in vitro* more efficiently than the native epitope. These CTL are capable of lysing human tumor cells that express native CEA. Zaremba et al., *Cancer Res.* 57:4570-7 (1997). Other preferred variants include portions of CEA that elicit a particular MHC class I or II response. In some embodiments, these sequences can be linked together. There are known patterns that elicit particular MHC class I or MHC class II reactions. Additionally, one can introduce changes in the nucleic acid sequence to make the gene more stable and less prone to excision during homologous recombination.

[064] One particularly preferred CEA sequence is referred to as wCEA(6D), the sequence of which is depicted in Figure 9 as SEQ ID NO:3.

[065] Another preferred breast cancer associated antigen is a mucin. One particularly preferred mucin is the human polymorphic epithelial mucin, designated MUC-1. The polymorphism of MUC-1 is derived from variation in the number of tandemly repeated amino acid sequences located in the extracellular portion of the glycoprotein. MUC-1 is expressed on the apical surface of normal glandular epithelial cells. Malignant breast and ovarian adenocarcinomas aberrantly glycosylate as well as overexpress MUC-1. The abnormal glycosylation exposes peptide epitopes, making the tumor-derived mucin antigenically distinct from normal mucin. T cell responses against MUC-1 have been identified in patients with breast and

ovarian cancer Jerome et al., *Cancer Res.* 51: 2908-16 (1991); Ioannides et al., *J. Immunol.* 151: 3693-3703 (1993).

[066] In one embodiment, the pox vectors of the present invention contain a DNA fragment encoding a MUC-1 fragment, sometimes referred to as mini-MUC. The MUC-1 gene fragment will encode a sufficient portion of MUC-1 to generate an immune reaction to MUC-1, but does not undergo extensive excision as a result of homologous recombination. Preferably, the fragment is approximately 5 to 25 MUC-1 tandem repeat units, more preferably between approximately 6 to 15 MUC-1 tandem repeat units, and most preferably about 6 to 12 MUC-1 tandem repeat units. An especially preferred immunogenic MUC-1 fragment is about 6 MUC-1 tandem repeat units. It is understood that as used herein, the phrase "approximately 6-15 MUC-1 tandem repeats" is intended to include each possible number of repeats within that range, i.e., a fragment with 6 tandem repeats, a fragment with 7 tandem repeats, etc., up to and including a fragment with 15 tandem repeats. Preferred MUC-1 fragments have the human MUC-1 DNA sequence. A preferred MUC-1 fragment has six tandem repeats and the nucleic acid sequence modified as discussed below to reduce excision of sequences during recombination events. Any changes such as those designed to enhance immunogenicity are also preferred. An exemplary MUC-1 DNA sequence is the human MUC-1 cDNA sequence having the repeat units disclosed, e.g., by Gendler et al. (*J. Biol. Chem.* 265:15286-93 (1990)).

[067] The breast cancer associated antigen, for example, the mucin repeat sequences, can be altered (sometimes referred to as wobbled) to minimize nucleotide homology without changing the amino acid sequence. For example, the nucleotide sequence of the DNA segment encoding the mucin tandem repeats is altered from the native sequence in such a manner as to reduce duplications of the codons. For example, amino acids typically have two or more codons that will encode the same residue (e.g., glycine is encoded by GGT, GGA, GGG, or GGC). By using other codons encoding the same amino acid, one can further reduce the possibility of undesired recombination events resulting in excision by reducing homology at the nucleic acid level. Additionally, one can also introduce some conservative amino acid changes into different groups of the tandem repeats to further reduce undesired recombination (e.g., glycine/serine, valine/leucine), taking care not to alter a peptide

epitope that would reduce its immunogenicity. Nucleotide homology can also be reduced by introducing changes to the amino acid sequence, preferably conservative amino acid substitutions into some of the tandem repeats.

[068] One can also introduce changes in other amino acids to enhance immunogenicity. For example, one particularly preferred MUC-1 sequence is referred to as wMUC-1(6), the sequence of which is depicted in Figure 7 as SEQ ID NO:1. This variant contains a change which converts a threonine codon to a leucine codon, to create a more immunogenic CTL epitope, and 87 silent nucleotides that were introduced in the repeat region to increase the stability of the MUC-1 gene without changing the amino acid sequence. In addition, a number of nucleotide differences are observed at the beginning and the end of the repeat region between wMUC-1(6) and the MUC-1 Genbank nucleotide sequence (accession no. J05581). This is due to the degeneracy of the repeated sequence at the beginning and end of the repeat region. In contrast, the wMUC-1(6) gene was designed to contain identical repeats with no degeneracy. Other nucleotide differences, consisting of 11 nucleotides in 8 codons, are silent mutations and do not result in amino acid changes.

[069] The pharmaceutical compositions described herein may be used for the treatment of cancer, particularly for the immunotherapy of breast cancer, as described in more detail below. Preferably, one first screens the tumor to determine what type of cancer a patient has. For example, with the above-described CEA and MUC containing vectors, one can select individuals having a breast cancer expressing either TAA. If the individual has cancers other than or in addition to breast cancer, one can add additional tumor associated antigens that are associated with those conditions.

[070] Preferably, the pharmaceutical compositions may be used to prevent the development of a cancer, particularly in an individual at higher risk to develop such cancer than other individuals, or to treat a patient afflicted with breast cancer. Pharmaceutical compositions and vaccines may be administered either prior to or following surgical removal of primary tumors and/or treatment such as administration of radiotherapy or conventional chemotherapeutic drugs. As discussed below, administration of the pharmaceutical compositions may be by any suitable method, including administration by intravenous, intraperitoneal, intramuscular, intratumoral, subcutaneous, intranasal, intradermal, anal, vaginal, topical and oral routes.

Breast Cancer Patients/ Subjects for Administration

[071] The pharmaceutical compositions described herein may be used for the treatment of cancer, particularly for the immunotherapy of breast cancer. In the methods of the present invention, one first screens the patient to determine the presence of breast cancer. Preferably, one also screens the patient to determine what stage of breast cancer the patient has. The estimated number of new cases for each stage of breast cancer, from stage 0-IV, is shown in Figure 12. In another preferred embodiment, one screens the patient to determine which breast cancer associated antigens are being expressed by the patient's tumor cells, in order to tailor treatment.

[072] The pharmaceutical compositions, poxvirus vectors, and methods of the present invention can be used to treat an individual with any type and/or stage of breast cancer. There are several types of breast cancer. In addition, there are several stages of breast cancer.

[073] The present invention can be used to treat a patient with any type of breast cancer. Breast cancers include carcinoma in situ, infiltrating (or invasive) ductal carcinoma, infiltrating (or invasive) lobular carcinoma, medullary carcinoma, colloid carcinoma, tubular carcinoma, and inflammatory carcinoma.

[074] In carcinoma in situ, the cancer is still in the ducts or lobules where it started and has not spread into surrounding fatty tissues in the breast or to other organs in the body. There are 2 types of breast carcinoma in situ. Lobular carcinoma in situ (LCIS): (also called lobular neoplasia) begins in the lobules, but does not grow through the lobule walls. Most breast cancer specialists think that LCIS, itself, does not usually become an invasive cancer, but women with this condition do run a higher risk of developing an invasive cancer in either breast. Ductal carcinoma in situ is the most common type of noninvasive breast cancer. Cancer cells inside the ducts do not spread through the walls of the ducts into the fatty tissue of the breast. These are treated with surgery and sometimes radiation, which are usually curative. Having untreated DCIS greatly increases the risk of invasive breast cancer.

[075] Infiltrating (or Invasive) Ductal Carcinoma starts in a milk passage, or duct, of the breast, but then the cancer cells break through the wall of the duct and spread into the breast's fatty tissue. They can then invade lymphatic channels or blood

vessels of the breast and spread to other parts of the body. About 80% of all breast cancers are infiltrating or invasive ductal carcinoma.

[076] Infiltrating (or Invasive) Lobular Carcinoma (ILC) starts in the milk-producing glands. Like infiltrating ductal breast cancer, this cancer can spread beyond the breast to other parts of the body. About 10% to 15% of invasive breast cancers are invasive lobular carcinomas.

[077] Medullary Carcinoma is a special type of infiltrating ductal cancer has a relatively well-defined, distinct boundary between tumor tissue and normal breast tissue. It also has a number of other special features, including the large size of the cancer cells and the presence of immune system cells at the edges of the tumor. It accounts for about 5% of all breast cancers.

[078] Colloid Carcinoma is a rare type of invasive ductal breast cancer, also called mucinous carcinoma, formed by mucus-producing cancer cells. Colloid carcinoma has a slightly better prognosis and a slightly lower chance of metastasis than invasive lobular or invasive ductal cancers of the same size.

[079] Tubular Carcinoma is a special type of infiltrating ductal carcinoma. About 2% of all breast cancers are tubular carcinomas. Women with this type of breast cancer have a better outlook because the cancer is less likely to spread outside the breast than invasive lobular or invasive ductal cancers of the same size.

[080] Inflammatory Breast Cancer is an uncommon type of invasive breast cancer that accounts for about 1-3% of all breast cancers. The skin of the affected breast is red, feels warm, and has the appearance of an orange peel. These changes are not caused by inflammation, but by cancer cells blocking lymph vessels in the skin. Inflammatory breast cancer has a higher chance of spreading and a worse prognosis than typical invasive ductal or lobular cancers. Inflammatory breast cancer is always staged as stage IIIB unless it has already spread to other organs at the time of diagnosis which would then make it stage IV.

[081] In addition to the different types of breast cancer, there are also different stages of breast cancer, referred to as stages 0 - IV. The system most often used to describe the growth and spread of breast cancer is the TNM staging system, also known as the American Joint Committee on Cancer (AJCC) system. In TNM staging, information about the tumor, nearby lymph nodes, and distant organ metastases is

combined and a stage is assigned to specific TNM groupings. The grouped stages are described using Roman numerals from I to IV. The clinical stage is determined by results from the physical examination and tests. The pathologic stage includes the findings of the pathologist after surgery. Most of the time, pathologic stage is the most important stage because usually the cancer isn't known to have spread to lymph nodes until the pathologist examines them under the microscope. In the TNM staging system, T stands for the size of the cancer (measured in centimeters; 2.54 centimeters = 1 inch); N stands for spread to lymph nodes in the area of the breast, and M is for metastasis (spread to distant organs of the body).

[082] The T category describes the original (primary) tumor. Tis: Tis is used only for carcinoma in situ or noninvasive breast cancer such as ductal carcinoma in situ (DCIS) or lobular carcinoma in situ (LCIS). T1: The cancer is 2 cm in diameter (about 3/4 inch) or smaller. T2: The cancer is more than 2 cm but not more than 5 cm in diameter. T3: The cancer is more than 5 cm in diameter. T4: The cancer is any size and has spread to the chest wall, the skin, or lymphatics.

[083] The N category is based on which of the lymph nodes near the breast, if any, are affected by the cancer. N0: The cancer has not spread to lymph nodes. N1: The cancer has spread to lymph nodes under the arm on the same side as the breast cancer. Lymph nodes have not yet attached to one another or to the surrounding tissue. N2: The cancer has spread to lymph nodes under the arm on the same side as the breast cancer and are attached to one another or to the surrounding tissue or enlarged. Or, the cancer can be seen to have spread to the internal mammary lymph nodes (next to the sternum), but not to the lymph nodes under the arm. N3: The cancer has spread to lymph nodes above or just below the collarbone on the same side as the cancer, and may or may not have spread to lymph nodes under the arm. Or, the cancer has spread to internal mammary lymph nodes and lymph nodes under the arm, both on the same side as the cancer.

[084] M categories: The M category depends on whether the cancer has spread to any distant tissues and organs. M0: No distant cancer spread. M1: Cancer has spread to distant organs.

[085] Stage grouping for breast cancer. Once the T, N, and M categories have been assigned, this information is combined to assign an overall stage of 0, I, II, III, or

IV. Once the T, N, and M are determined, they are combined, and an overall "stage" of I, II, III, or IV is assigned. (Sometimes these stages are subdivided as well, using letters such as IIIA and IIIB.) Stage I cancers are the least advanced and often have a better prognosis (outlook for survival). Higher stage cancers are often more advanced but in many cases can still be treated successfully. The estimated number of new cases in 2004 for each stage of breast cancer, from stage 0-IV, is shown in Figure 15.

Overall Stage	T category	N category	M category
Stage 0	Tis	N0	M0
Stage I	T1	N0	M0
Stage IIA	T0	N1	M0
	T1	N1	M0
	T2	N0	M0
Stage IIB	T2	N1	M0
	T3	N0	M0
Stage IIIA	T0	N2	M0
	T1	N2	M0
	T2	N2	M0
	T3	N1	M0
	T3	N2	M0
Stage IIIB	T4	Any N	M0
Stage IIIC	Any T	N3	M0
Stage IV	Any T	Any N	M1

[086] There are different types of staging. Clinical staging estimates how much cancer there is based on the results of the physical exam, imaging tests (x-rays, CT scans, etc.) and sometimes biopsies of affected areas. For certain cancers the results of other tests, such as blood tests, are also used in staging. Pathologic staging can only

be done on patients who have had surgery to remove or explore the extent of the cancer. It combines the results of clinical staging (physical exam, imaging tests, etc.) with the results from the surgery. In some cases, the pathologic stage may be different from the clinical stage (for example, if the surgery shows the cancer is more extensive than it was previously thought to be). Restaging is sometimes used to determine the extent of the disease if a cancer recurs (comes back) after treatment. This is done to help determine what the best treatment option would be at this time.

[087] In one preferred embodiment, the poxvirus vectors of the present invention are used to treat patients with stage IIIB breast cancer, i.e. patients with severe local tumors. The poxvirus vectors of the present invention can be used in conjunction with other treatments, which are described in detail below. For example, a stage IIIB patient can have neoadjuvant chemotherapy to reduce tumor size, followed by lumpectomy or modified radical mastectomy, followed by chemotherapy, radiation therapy, or chemotherapy plus hormonal therapy.

[088] In another preferred embodiment, the poxvirus vectors of the present invention are used to treat patients with stage IV breast cancer, i.e. patients with metastatic cancer.

[089] In one embodiment, the patient having breast cancer has already failed other treatment regimens, for example, chemotherapy.

[090] If the individual has other cancers, one would preferably add additional tumor associated antigens that are associated with those conditions. Within such methods, the pharmaceutical compositions described herein are administered to a patient, typically a warm-blooded animal, preferably a human.

[091] In one embodiment, the pharmaceutical compositions may be used to prevent the development of a cancer, particularly in an individual at higher risk to develop such cancer than other individuals, or to treat a patient afflicted with breast cancer.

[092] Pharmaceutical compositions and vaccines may be administered either prior to or following surgical removal of primary tumors and/or treatment such as administration of radiotherapy or conventional chemotherapeutic drugs. As discussed below, administration of the pharmaceutical compositions may be by any suitable

method, including administration by intravenous, intraperitoneal, intramuscular, subcutaneous, intranasal, intradermal, anal, vaginal, topical and oral routes.

[093] The breast cancer treatment system using recombinant poxviruses described herein can be used for any host. Preferably, the host is a mammal. Preferred mammals include primates such as humans and chimpanzees, domestic animals such as horses, cows, pigs, etc. and pets such as dogs and cats. More preferably, the host mammal is a primate or domestic animal. Still more preferably the host mammal is a human.

Custom Vectors

[094] In one embodiment, the system of the present invention allows the treatment to be tailored to the particular individual and disease state. In this embodiment, the breast cancer associated antigen used can be tailored to the individual patient by determining what antigens are being expressed at high levels in the patient's cancer cells.

[095] With the development of pharmacogenetics and pharmacogenomics, it is now feasible to obtain detailed information about the type of breast cancer affecting an individual (Hedenfalk, I. et al. J. Trent. 2001. Gene-expression profiles in hereditary breast cancer. New England Journal of Medicine 344(Feb. 22):539).

[096] Custom-designing the breast cancer antigens to fit the needs of an individual patient can be performed using information obtained from, for example, immunohistochemical analysis of a breast tumor biopsy. Alternatively, one can perform a nucleic acid array analysis of the expression profile of the particular breast tumor sample using isolated mRNA from the breast cancer tissue sample like described in Pollack et al. (Proc Natl Acad Sci. USA 99:12963-12968, 2002).

[097] With the development of pharmacogenetics and pharmacogenomics, it is now feasible to obtain detailed information about the type of breast cancer affecting an individual. Accordingly, the type and present stage of the breast cancer can be evaluated using histochemical, immunohistochemical and genetic methods. This data can give additional information about the antigen expression that can be anticipated as the disease progresses. In this way, one can add additional breast cancer associated antigens that are expected to be expressed as the disease progresses to maximize the immune response.

[098] The breast cancer antigens useful according to the present invention are preferably tailored to the individual patient by determining what antigens are being abnormally expressed or expressed at abnormally high levels in the individual's cancerous or precancerous cells. The antigens useful according to the system of the present invention can also be selected according to the stage of the cancer cells. Generally, pharmacogenetic and pharmacogenomic as well as immunohistochemical data from the individual's cells are used to determine the selection of preferred breast cancer associated antigens in the system of the present invention. In one preferred embodiment, the patient has more than one type of cancer, and the appropriate antigens are selected based upon the specific cancer expressed by the patient.

[099] Custom-designing the breast cancer antigens to fit the needs of an individual patient can be performed using information obtained from, for example, immunohistochemical analysis of a breast tumor biopsy. Alternatively, one can perform a nucleic acid array analysis of the expression profile of the particular breast tumor sample using isolated mRNA from the breast cancer tissue sample.

[0100] Other useful nucleic acid arrays suitable to custom-designing the antigens for the methods of the present invention using poxvirus vectors include, for example, a cancer array which allows characterization of expression profiles of genes involved in breast cancers.

[0101] Administration of the recombinant poxvirus of the invention can be either prophylactic or therapeutic depending on the subject. When provided prophylactically, the recombinant poxvirus of the present invention is provided in advance of tumor formation to allow the individual's immune system to fight against a tumor that the individual is susceptible of developing. For example, individuals with hereditary cancer susceptibility are a preferred group of patients treated with such prophylactic immunization, another group is one that has been exposed to environmental agents that are linked to such cancer or live in a "hot spot" or breast tumor cluster. Such individuals include, for example, carriers of mutations including BRCA1 (Online Mendelian Inheritance of Man (OMIM) #113705) on 17q, BRCA2 (OMIM #600185) on 13q12, BRCATA (OMIM #600048) on 11q, BRCA3 (OMIM #605365) on 13q21, BWSCR1A (OMIM #602631) on 11p15.5, the TP53 gene (OMIM #191170) on 17p, the BRIP1 gene (OMIM #605882) on 17q22, and the

RB1CC1 gene (OMIM #606837) on 8q11. Mutations in the androgen receptor gene (AR; OMIM #313700) on the X chromosome have been found in cases of male breast cancer (OMIM #313700.0016). Mutation in the RAD51 gene (OMIM #179617) was found in patients with familial breast cancer (OMIM #179617.0001). The 1100delC allele of the CHEK2 gene (OMIM #604373.0001) has been shown to confer an increased susceptibility to breast cancer in women and especially in men. The NCOA3 (OMIM #601937) and ZNF217 (OMIM #602967) genes, located on 20q, undergo amplification in breast cancer; when overexpressed, these genes confer cellular phenotypes consistent with a role in tumor formation (Anzick et al., *Science* 277: 965-968, 1997; Collins et al., *Proc. Nat. Acad. Sci.* 95: 8703-8708, 1998).

Co-stimulatory Molecules

[0102] Another particularly preferred embodiment provides co-administration of at least one co-stimulatory molecule, including LFA-3, ICAM-1, and B7.1. Preferably, two co-stimulatory molecules. Even more preferably, three co-stimulatory molecules. It is most preferred that the PTAA(s) is co-administered with LFA-3, ICAM-1, and B7.1 (TRICOM). One can use additional immune modulating molecules such as OX40L. Additionally in alternative embodiments one can administer only one co-stimulatory molecule (e.g. B7, LFA-3, ICAM-1) or combinations thereof such as B7.1 and LFA-3, B7.1 and ICAM-1, and LFA-3 and ICAM-1.

[0103] In a preferred embodiment, the poxvirus comprising at least one nucleic acid encoding a breast cancer associated antigen also encodes at least one co-stimulatory or immunostimulatory molecule. Alternatively, the co-stimulatory molecule(s) and the antigen(s) are encoded by a pox vector system comprising multiple recombinant poxvirus vectors.

[0104] One preferred group of nucleic acids for insertion into the poxvirus include co-stimulatory molecules, accessory molecules, and/or genes encoding a cytokine. The terms "co-stimulatory" and "immunostimulatory" are used interchangeably in this specification. Examples of co-stimulatory molecules include but are not limited to B7-1, B7-2, ICAM-1, LFA-3, CD72, OX40L (with or without OX40) and the like. Examples of cytokines encompassed by the present invention include but are not

limited to IL-2, GM-CSF, TNF-alpha., IFN-gamma., IL-12, RANTES, and the like. Co-stimulatory molecules can be administered using either a recombinant poxvirus vector encoding the co-stimulatory molecules or without the vector as proteins in a pharmaceutically acceptable carrier. For example, OX40 agonists, including OX40L and antibodies against OX40, prevent formation of tolerance by the immune reaction to presented antigens (Weinberg et al. J Immunol. 164:2160-2169, 2000). Therefore, to increase the immune response against an antigen, the system of the present invention may either deliver a recombinant poxvirus expressing OX40L or antibody against OX40 in a pharmaceutically acceptable carrier before, after or simultaneously with delivering a recombinant poxvirus vector encoding a cancer associated antigen. To enhance the effects of OX40L a nucleic acid encoding OX40 may be added to a poxvirus vector. The nucleic acid sequence of OX40 can be readily obtained from the Entrez Nucleic Acid Database with an accession number AJ277151 and OX40L sequence is readily available from the Entrez Nucleic Acid Database with an accession number: SEG_AB042987S. *Homo sapiens OX40...[gi:14279071]*.

[0105] One does not have to use a gene encoding an entire co-stimulatory protein or breast cancer associated antigen, but rather only the desired domain. For example, if an immune reaction is desired, only the fragment necessary to stimulate the immune reaction needs to be encoded.

[0106] In one preferred embodiment, one administers a poxvirus vector containing B7, LFA-3 and ICAM-1 in conjunction with the tumor associated antigen.

[0107] Poxviruses expressing B7-1, ICAM-1, and LFA-3 induce activation of both CD4+ and CD8+ T cells. (U.S. Patent No. 6,045,802; Hodge et al., J. Natl. Cancer Inst. 92: 1228-39 (2000); Hodge et al., Cancer Research 59: 5800-07 (1999)). OX40 is a primary co-stimulator of T cells that have encountered antigen, rather than naïve T cells, and promotes T-cell expansion after T cell tolerance is induced. (Bansal-Pakal et al., Nature Med. 7: 907-12 (2001)). OX40L plays a role during T cell activation by a) sustaining the long-term proliferation of CD4+ and CD8+ T cells, b) enhancing the production of Th1 cytokines such as IL-2, IFN-γ, and TNF-α from both CD4+ and CD8+ T cells without changing IL-4 expression, c) protecting T cells from apoptosis. The combination of B7-1, ICAM-1, LFA-3, and OX40L enhances

initial activation and then further potentiates sustained activation of naïve and effector T cells.

Adjutants

[0108] The vaccine formulations of the present invention can include any adjuvant compositions. The co-stimulatory molecules, accessory molecules, and cytokines of the present invention are useful as biologic adjuvants, which can be administered systemically to the host via inserting nucleic acids encoding such into the same or different recombinant poxvirus vectors. Alternatively, the adjuvants can be administered via other, non-vector means.

[0109] The adjuvant may be administered using a viral vector or as an isolated protein in a pharmaceutical formulation. In one preferred embodiment, the adjuvant is administered as an isolated protein, such as a recombinant protein.

[0110] In one preferred embodiment, the methods of the present invention provide that the adjuvant is administered to the patient at about the same time as the poxvirus vector(s) is administered to the patient. The methods of the invention also provide for administration of the adjuvant for several days either before or after administration of the poxvirus vector. For example, the adjuvant may be administered on each day the patient is administered with a poxvirus vector, and every day thereafter for about 1 to about 5 days. Preferably, for about 3 days.

[0111] In another preferred embodiment, the adjuvant is administered to the patient before the initial antigen administration.

[0112] Any adjuvant can be used in conjunction with the present vector system. A particularly preferred adjuvant is GM-CSF, which has been shown to be an effective vaccine adjuvant because it enhances antigen processing and presentation by dendritic cells. Experimental and clinical studies suggest that recombinant GM-CSF can boost host immunity directed at a variety of immunogens. Morrissey, J. Immunol. 139:113-119 (1987); Dranoff, Proc. Natl. Acad. Sci. USA 90:3539-40 (1983); Vieweg, Cancer Res. 54:1760-65 (1994). Another preferred adjuvant is a saponin and/or an immunostimulatory molecule containing an unmethylated CG dinucleotide (U.S. Patent No. 6,544,518).

[0113] One preferred embodiment provides the use of GM-CSF as an adjuvant. For example, the recombinant GM-CSF (sargramostim) sold by Berlex Labs, Inc. under the mark LEUKINE®. GM-CSF may be administered using a viral vector or an isolated protein in a pharmaceutical formulation. In a particularly preferred embodiment, recombinant GM-CSF protein is administered to the patient on each day of vaccination with the poxvirus vector(s), and for each of the following three days (i.e. a total of 4 days). Preferably, 50 – 500 µg of recombinant GM-CSF is administered per day, e.g., 100 µg per day. Preferably, the recombinant GM-CSF is administered subcutaneously or near the site of the poxvirus vaccination.

[0114] In another embodiment, the gene encoding GM-CSF or a known active variant thereof is inserted into a poxvirus or other vector for delivery of the gene into the host.

Poxvirus Vectors

[0115] The poxviruses of the present invention are sometimes referred to herein as a viral vector or a vector system or simply a vector. Poxviruses having utility in the present invention include replicating and non-replicating vectors. Such poxviruses include but are not limited to orthopox such as vaccinia, avipox, e.g. fowlpox and canary pox, raccoon pox, rabbit pox and the like, suipox, e.g. swine pox, capripox, e.g. sheep pox, leporipox, and iridoviruses. Other DNA viruses include iridoviruses and the like.

[0116] Parental poxviruses useful in the method of the present invention include but are not limited to orthopoxvirus such as replicating vaccinia virus (Perkus et al Science 229:981-984, 1985; Kaufman et al Int. J. Cancer 48:900-907, 1991, Moss Science 252:1662, 1991), vaccinia Wyeth, and highly attenuated vaccinia viruses such as modified vaccinia Ankara (MVA) (Sutter and Moss, Proc. Nat'l Acad. Sci. U.S.A., 89:10847-10851; Sutter et al Virology 1994) or NYVAC,; avipoxviruses such as fowlpoxvirus, canary poxviruses, such as ALVAC and the like (Baxby and Paoletti, Vaccine 10:8-9, 1992; Rinns, M.M. et al (Eds) Recombinant Poxviruses CRC Press, Inc, Boca Raton 1992- Paoletti, E. Proc. Nat'l Acad. Sci. USA 93:113491-11353, 1996), and suipoxvirus, capripoxvirus and the like.

[0117] One preferred vaccinia virus is a Wyeth strain or derivative thereof. A derivative of the Wyeth strain includes but is not limited to derivatives which lack a functional K1L gene, and the like. In yet another embodiment, the virus is DryVax, available as a smallpox vaccine from the Centers for Disease Control, Atlanta, GA. In another embodiment, the parental poxvirus is a strain of fowlpox, for example POXVAC-TC (Schering-Plough Corporation), and the like.

[0118] The poxvirus of the present invention is able to infect, transfect or transduce host cells in a host. The host includes but is not limited to mammals, including humans, birds, fish and the like. The host cells are any cell amenable to infection, transfection or transduction by the poxvirus and capable of expressing the poxvirus, including any foreign genes inserted therein, at functional levels.

[0119] The poxvirus of the present invention preferably has a low replicative efficiency in the target cell. This preferably means that no more than about 1 progeny per cell are produced, still more preferably, no more than 0.1 progeny per cell. Replication efficiency can readily be determined empirically by determining the virus titer after infection of the target cell.

[0120] As a result of the low replication efficiency and the non-integrative, cytoplasmic nature of the vector, the vector system will not result in sustained replication and infection of other cells. Thus, the pox vector and transformed cells will not adversely affect cells in the host animal at locations distant from where the target cell is.

[0121] To further ensure that the poxvirus vector used for a particular host animal is avirulent in that animal, one can readily screen for a viral vector by looking at the virus's host range and tissue specificity. For example, one method is looking at a virus' natural host range. Preferably, the virus vector selected is from a virus whose primary range of infection is for a different host animal than the animal that the gene delivery system is to be used in. For example, swinepox can be used as a viral vector when the host is a primate such as a human. However, for veterinary purposes where the host is a pig it would not be preferable. Certain highly attenuated or modified strains such as modified orthopoxvirus (e.g., the MVA or NYVAC strain of vaccinia or strains genetically modified or selected to be non-virulent in their normal host range or in a desired host cell) that are not virulent in their normal host range can,

however, be used. Tissue specificity also can be used to preliminarily screen for infectivity and replication efficiency.

[0122] Where the host is human, preferred vectors include pox vectors, for example, suipox, such as swinepox, avipox such as fowlpox, canary pox, or pigeon pox, and capripoxvirus. In addition, iridoviruses such as frog virus, and African swine fever virus are also preferred. In one embodiment, preferred viral vectors for use with human cells are non-lytic, avirulent poxviruses such as avipox (Taylor, et al., *Vaccine*, 6:497-503 (1985) and Jenkins, et al., *AIDS Research And Human Retroviruses* 7:991-998 (1991)) and suipox (Feller, et al., *Virology* 183:578-585 (1991)).

[0123] According to the present invention, any nucleic acid encoding a breast cancer associated antigen and/or immunostimulatory molecule can be inserted into the poxvirus vector. Because poxviruses have a large genome, they can readily be used to deliver a wide range of genetic material including multiple genes (i.e., act as a multivalent vector). The sizes of the poxvirus genomes ranges between about 130 – 300 kbp with up to 300 genes, depending on the strain of the virus. Therefore, it is possible to insert large fragments of foreign DNA into these viruses and yet maintain stability of the viral genome. The size of the poxvirus genome allows construction of the custom-designed “rainbow” vectors encoding individualized antigen/immunostimulatory molecule combinations to provide custom-designed treatment to individuals with different types or stages of breast cancer.

[0124] In one embodiment, at least one nucleic acid fragment encoding a molecule which has therapeutic value in treating breast cancer is inserted into a poxvirus vector. In another embodiment at least two and up to about ten different nucleic acids encoding different molecules are inserted into the poxvirus vector.

[0125] Therefore, the recombinant poxvirus vectors useful according to the present invention encodes one or more breast cancer associated antigens and, preferably, also one or more co-stimulatory molecules can be assessed or treated by methods described in the present application. For example, the gene encoding a antigen associated with breast cancer is incorporated into the recombinant poxvirus genome or portion thereof along with a gene encoding one or more immunostimulatory molecules. Alternatively, the gene encoding an antigen associated

with breast cancer and the gene encoding one or more immunostimulatory molecules are incorporated into separate recombinant poxviruses. The antigen associated with breast cancer may be expressed on the surface of a cancer cell or may be an internal antigen. In one embodiment the antigen associated with the breast cancer is a tumor associated antigen (TAA) or portion thereof.

[0126] One does not have to use a gene or nucleic acid encoding an entire protein, but rather only the domain desired, for the genes encoding the PTAAs, co-stimulatory molecules, accessory molecules, and cytokines of the present invention. For example, if an immune reaction is desired, only the fragment necessary to stimulate the immune reaction needs to be encoded.

Prime – Boost Protocols

[0127] The present invention employs a prime-boost regimen, in which a patient receives an initial “prime” with a composition containing one or more poxvirus vector(s), followed by one or preferably multiple “boosts” with a composition containing one or more poxvirus vector(s).

[0128] In one example of a prime, a single poxvirus vector is used for delivery of the PTAAs and co-stimulatory molecules. In another embodiment, two or more poxvirus vectors comprise the priming vaccination, which are administered simultaneously in a single injection. For example, simultaneously administering to a host an admix of two poxvirus vectors, at least one of which is replication competent. If both vectors are replication defective, then not as many cells will get transduced by both viruses. One example of an admix strategy is to use a first vector comprising a DNA encoding at least one breast cancer associated antigen and a second vector comprising a DNA encoding at least one, preferably three, co-stimulatory molecules such as TRICOM.

[0129] The boosting vaccinations may also comprise one or more poxvirus vectors. In one preferred embodiment, a single poxvirus vector is used for delivery of the PTAAs and co-stimulatory molecules of the boosting vaccination. In another embodiment, two or more poxvirus vectors comprise the boosting vaccination, which are administered simultaneously in a single injection. For example, simultaneously administering to a host an admix of two poxvirus vectors, at least one of which is

replication competent. If both vectors are replication defective, then not as many cells will get transduced by both viruses. One example of an admix strategy is to use a first vector comprising a DNA encoding at least one breast cancer associated antigen and a second vector comprising a DNA encoding at least one, preferably three, co-stimulatory molecules such as TRICOM.

[0130] For example, the individual can be immunized at least once with a first recombinant poxvirus vector (prime) such as a poxvirus vector carrying the PTAA and preferably at least one immunomodulatory or co-stimulatory molecule. In the preferred embodiment a TRICOM or TRICOM and OX40L or TRICOM and OX40L and OX40 are used. Subsequent immunizations are considered part of the boosting protocol. Preferably, the boosts are performed with a second recombinant poxvirus vector using a different type of poxvirus encoding the antigen(s), and preferably also the co-stimulatory molecule(s). The inoculations are typically given at least one month apart. Other variations can be included in the protocol including use of DNA encoding the PTAA or immunogenic portion thereof, direct administration of the PTAA or immunogenic portion thereof, or other vectors containing such molecules. Such a protocol can have the peptide administered as a boost.

[0131] Preferably, one uses a replication impaired or non-replicating vector for either the prime or the boost. In one preferred combination, the prime is an orthopox, e.g. vaccinia, preferably a vaccinia such as the Wyeth vaccine strain. The boost is preferably an avipox vector such as fowlpox or a canary pox such as ALVAC.

[0132] In humans, vaccinia vectors including attenuated vaccine vectors such as MVA and NYVAC can be administered several times. Other poxviruses, such as avipox, do not elicit a neutralizing antibody response in humans, and thus can be administered repeatedly without any adverse effect. In planning a protocol one must take this into account. Typically, with these types of cancers repeated administration is necessary. Thus, one can determine if the subject has previously been exposed to vaccinia (as in a smallpox vaccine), and factor that in.

[0133] One protocol can be one prime with a vaccinia, such as Wyeth or MVA, encoding a PTAA(s) and at least one co-stimulating molecule, followed by at least 6 boosts with an avipox such as fowlpox encoding a PTAA(s) and preferably at least one co-stimulatory molecule.

[0134] Alternatively, immunostimulatory molecules can be administered in a pharmaceutically acceptable carrier without poxvirus vector. For example, an antibody against OX40 can be administered to an individual before, after or simultaneously with inoculation with a recombinant poxvirus encoding a cancer associated antigen.

[0135] In one preferred embodiment, the system of the present invention comprises administering to a host a recombinant poxvirus comprising a DNA encoding at least one breast cancer-related antigen and at least one co-stimulatory molecule.

[0136] In one particularly preferred embodiment, described further below as Example 11, the priming vector is vaccinia Wyeth carrying the genes for wMUC-1(6), wCEA(6D), LFA-3, ICAM-1, and B7.1, and the boosting vector is fowlpox carrying the genes for wMUC-1(6), wCEA(6D), LFA-3, ICAM-1, and B7.1.

[0137] In another embodiment, the present invention provides a system comprising simultaneously administering to a host an admix of two poxvirus vectors, one of which is replication competent. If both vectors are replication defective, then not as many cells will get transduced by both viruses. One example of an admix strategy is to use a first vector comprising a DNA encoding at least one breast cancer associated antigen and a second vector comprising a DNA encoding at least one co-stimulatory molecule. In a preferred embodiment, the two different DNAs are inserted into poxvirus vectors from different genera. For example, the DNA encoding the breast cancer associated antigen(s) is inserted into a suipox derived vector and the co-stimulatory molecule(s) encoding DNA is inserted into an avipox derived vector.

[0138] The schedule for administering the priming poxviruses and the boosting viruses typically includes repeated administration over regular intervals. The boosting vector can be administered every 2-4 weeks thereafter, for example for a total of at least 5 – 15 boosting vaccinations. As used herein, the number of boosts includes all variants within the range of 5-15 boosts, including at least 5, at least 6, at least 7 times, etc. In one preferred embodiment, the subject receives one vaccination with the priming vector, followed every 2 weeks thereafter with the boosting vector

for 6 boosts, followed by every boosts 4 weeks thereafter and continuing depending upon disease progression.

[0139] The system of the present invention is particularly useful to generate cell-mediated immune reactions against cancer cells. Accordingly, the present invention further provides a kit that has at least a first recombinant poxvirus which has incorporated into its genome or portion thereof a gene encoding a breast cancer cell-specific antigen in a pharmaceutically acceptable carrier. The first recombinant poxvirus may also comprise one or more genes encoding one or more immunostimulatory or co-stimulatory molecules. Another embodiment provides a kit that has, in addition to the first recombinant poxvirus, a second recombinant poxvirus that comprises one or more genes encoding one or more immunostimulatory molecules in a pharmaceutically acceptable carrier. The kit further provides containers, injection needles and instructions on how to use the kit. Additionally, the kit can also comprise a diagnostic component including mutation detection systems and/or expression profiling systems. In another embodiment, the kit further provides an adjuvant such as GM-CSF, and/or instructions for use of a commercially available adjuvant with the purchased kit components.

[0140] A host cell infected with both recombinant viruses expresses both the breast cancer antigen(s) and the immunostimulatory molecule(s). The antigen may be expressed at the cell surface of the infected host cell. The immunostimulatory molecule may be expressed at the cell surface or may be actively secreted by the host cell. The expression of both the antigen and the immunostimulatory molecule provides the necessary MHC restricted peptide to specific T cells and the appropriate signal to the T cell to aid in antigen recognition and proliferation or clonal expansion of antigen specific T cells. The overall result is an upregulation of the immune system. In a preferred embodiment the upregulation of the immune response is an increase in antigen specific T-helper lymphocytes and/or cytotoxic lymphocytes, which are able to kill or inhibit the growth of a breast cancer cell. In the preferred embodiment, the immunostimulatory molecule(s) and the breast cancer antigen(s) are provided in the same poxvirus vector.

Construction of Viral Vectors

[0141] The basic techniques of inserting genes into viruses are known to the skilled artisan and involve, for example, recombination between the viral DNA sequences flanking a gene in a donor plasmid and homologous sequences present in the parental virus (Mackett, et al., Proc. Natl. Acad. Sci. USA 79:7415-7419 (1982)). For example, a recombinant virus such as a poxvirus for use in delivering the gene can be constructed in two steps known in the art and analogous to the methods for creating synthetic recombinants of the fowlpox virus described in U.S. Pat. No. 5,093,258, the disclosure of which is incorporated herein by reference. Other techniques include using a unique restriction endonuclease site that is naturally present or artificially inserted in the parental viral vector.

[0142] First, the nucleic acid to be inserted into the virus can be placed into a plasmid, e.g., an *E. coli* plasmid construct, into which DNA homologous to a section of DNA such as that of the poxvirus has been inserted. Separately, the DNA gene sequence to be inserted is ligated to a promoter. The promoter-gene linkage is positioned in the plasmid construct so that the promoter-gene linkage is flanked on both ends by DNA homologous to a DNA sequence flanking a region of pox DNA which is the desired insertion region. The resulting plasmid construct is then amplified by growth within *E. coli* bacteria and isolated. Preferably, the plasmid also contains an origin of replication such as the *E. coli* origin of replication, and a marker such as an antibiotic resistance gene for selection and propagation in *E. coli*.

[0143] Second, the isolated plasmid containing the DNA gene sequence to be inserted is transfected into a cell culture, e.g., chick embryo fibroblasts, along with the poxvirus. Recombination between homologous pox DNA in the plasmid and the viral genome results in a poxvirus modified by the presence of the promoter-gene construct in its genome, at a site which does not affect virus viability.

[0144] The gene is inserted into a site or region (insertion region) in the virus which does not affect virus viability of the resultant recombinant virus. The skilled artisan can readily identify such regions in a virus by, for example, randomly testing segments of virus DNA for regions that allow recombinant formation without seriously affecting virus viability of the recombinant.

[0145] One insertion region that can readily be used and is present in many viruses is the thymidine kinase gene, also referred to herein as the TK gene. For example, it has been found in all poxvirus genomes examined [leporipoxvirus: Upton, et al., *J. Virology*, 60:920 (1986) (shope fibroma virus); capripoxvirus: Gershon, et al., *J. Gen. Virol.*, 70:525 (1989) (Kenya sheep-1); orthopoxvirus: Weir, et al., *J. Virol.*, 46:530 (1983) (vaccinia); Esposito, et al., *Virology*, 135:561 (1984) (monkeypox and variola virus); Hruby, et al., *PNAS*, 80:3411 (1983) (vaccinia); Kilpatrick, et al., *Virology*, 143:399 (1985) (Yaba monkey tumor virus); avipoxvirus: Binns, et al., *J. Gen. Virol.* 69:1275 (1988) (fowlpox); Boyle, et al., *Virology*, 156:355 (1987) (fowlpox); Schnitzlein, et al., *J. Virological Methods*, 20:341 (1988) (fowlpox, quailpox); entomopox (Lytvyn, et al., *J. Gen. Virol.* 73:3235-3240 (1992)].

[0146] In fowlpox, in addition to the TK region, other insertion regions include, for example, but are not limited to the following: the BamHI J fragment [Jenkins, et al., *AIDS Research and Human Retroviruses* 7:991-998 (1991)] the EcoRI-HindIII fragment, BamHI fragment, EcoRV-HindIII fragment, BamHI fragment and the HindIII fragment set forth in EPO Application No. 0 308 220 A1. (Calvert, et al., *J. of Virol.* 67:3069-3076 (1993); Taylor, et al., *Vaccine* 6:497-503 (1988); Spehner, et al., (1990) and Boursnell, et al., *J. of Gen. Virol.* 71:621-628 (1990)). Another preferred poxvirus useful according to the treatment system of the present invention is an avipox, including but not limited to fowlpox, and canary pox, including ALVAC. A particularly preferred avipox virus is fowlpox.

[0147] Other particularly preferred fowlpox insertion sites of the present invention are designated the LUS insertion site, the FP14 insertion site, and the 43K insertion site. These sites are also referred to sometimes as FPV006/FPV007 (LUS insertion site), FPV254/FPV255 (LUS insertion site), FPV060/FPV061 (FP14 insertion site), and FPV107/FPV108 (43K insertion site).

[0148] In one preferred embodiment, the insertion site in fowlpox is designated the LUS insertion site. In fowlpox, there are two long unique sequences (LUS) at each end of the viral genome (Genbank Accession # AF198100), and thus two LUS insertion sites in each genome. The LUS insertion site at the left end of the genome is between positions 7470 – 7475 in the fowlpox genomic sequence, and lies 3' of FPV006 and 5' of FPV007 125L. The LUS insertion site at the right end of the

genome is between positions 281065 and 281070 in the fowlpox genomic sequence, and lies 5' of FPV254 and 3' of FPV255. In this embodiment, an insert representing a sequence of interest can be inserted at any position within the specified insertion site.

[0149] In another preferred embodiment, the insertion site in fowlpox is designated the FP14 insertion site. This site is between positions 67080 – 67097 in the fowlpox genomic sequence, and lies 5' of FPV060 and 3' of FPV061. In this embodiment, the DNA sequence at the specified insertion site, i.e. between the nucleotides, is deleted in the recombinant virus and replaced with defined inserts representing a sequence of interest.

[0150] In yet another preferred embodiment, the novel insertion site in fowlpox is designated the 43K insertion site. This site is at position 128178 of the fowlpox genomic sequence, and lies 5' of FPV107 and 5' of FPV108. These genes are divergently transcribed, and the insertion site lies between the two promoter elements for the two ORFs. In this embodiment, an insert representing a sequence of interest can be inserted at this position within the fowlpox genome.

[0151] Particularly preferred fowlpox insertion regions are the FP14 region and the BamHI J region. In one preferred vector, the breast cancer associated antigens CEA and MUC-1 are inserted into the FP14 region and the co-stimulatory molecules LFA-3, ICAM-1, and B7.1 are inserted into the BamHI J region.

[0152] In one preferred embodiment, the insertion site in vaccinia is designated insertion site 44/45. This insertion site was first identified in MVA, where insertion site 44/45 lies between ORFs 044L and 045L, and the insertion site is between positions 37346-37357 in the MVA genomic sequence (Genbank Accession # U94848). This region is 5' of the translational start codon of MVA 044L and 3' of the translational stop codon of MVA 045L. In vaccinia Copenhagen, for insertion site 44/45 the corresponding ORFs are F14L (homologous to MVA 044L) and F15L (MVA 045L), and the insertion site is 5' of the translational start codon of vaccinia F14L and 3' of the translations stop codon of vaccinia F15L. Vaccinia Copenhagen, which contains this region and has its sequence available as Genbank Accession number M35027, is a prototypical vaccinia. Insertion site analogous to sites such as 44/45 can also be used in other vaccinia strains including vaccinia Wyeth, NYVAC (where the insertion site is not known to be modified) and TROYVAC. In this

embodiment, the DNA sequence at the specified insertion site, i.e. between the nucleotides, is deleted in the recombinant virus and replaced with defined inserts representing a sequence of interest.

[0153] Another insertion site useful according to the present invention is insertion site in vaccinia designated as insertion site 49/50. This insertion site was first identified in MVA, where insertion site 49/50 lies between ORFs 049L and 050L, and the insertion site is between positions 42687 – 42690 in the MVA genomic sequence (Genbank Accession # U94848). This region is 5' of the translational start codon of MVA 049L and 3' of the translational stop codon of MVA 050L. In vaccinia Copenhagen, for insertion site 49/50 the corresponding ORFs are E2L (homologous to MVA 049L) and E3L (MVA 050L), and the insertion site is 5' of the translational start codon of vaccinia E2L and 3' of the translations stop codon of vaccinia E3L. Vaccinia Copenhagen is a prototypical vaccinia. Similarly, insertion site 49/50 can also be used in other vaccinia strains including NYVAC (where the insertion site is not known to be modified) and TROYVAC. In this embodiment, the DNA sequence at the specified insertion site, i.e. between the nucleotides, is deleted in the recombinant virus and replaced with defined inserts representing a sequence of interest.

[0154] In yet another preferred embodiment, the insertion site in vaccinia is designated insertion site 124/125. This insertion site was first identified in MVA, where insertion site 124/125 lies between ORFs 124L and 125L, and the insertion site is between positions 118481 – 118482 in the MVA genomic sequence (Genbank Accession # U94848). This region is 5' of the translational start codon of MVA 124L and 3' of the translational stop codon of MVA 125L. In vaccinia Copenhagen, for insertion site 124/125 the corresponding ORFs are A13L (homologous to MVA 124L) and A14L (MVA 125L), and the insertion site is 5' of the translational start codon of vaccinia A13L and 3' of the translations stop codon of vaccinia A14L. Similarly, insertion site 124/125 can also be used in other vaccinia strains including NYVAC (where the insertion site is not known to be modified) and TROYVAC. In this embodiment, the DNA sequence at the specified insertion site, i.e. between the nucleotides, is deleted in the recombinant virus and replaced with defined inserts representing a sequence of interest.

[0155] In addition to the requirement that the gene be inserted into an insertion site, successful expression of the inserted gene(s) by the modified recombinant poxvirus requires the presence of a promoter operably linked to the desired gene, i.e., in the proper relationship to the inserted gene. The promoter must be placed so that it is located upstream from the gene to be expressed. Promoters are well known in the art and can readily be selected depending on the host and the cell type one wishes to target.

[0156] For example in poxviruses, poxviral promoters can be used, including but not limited to the vaccinia 7.5K promoter, the vaccinia 30K promoter, the vaccinia 40K promoter, the vaccinia I3 promoter. Other preferred promoters include the synthetic early/late (sE/L) promoter and the 7.5 promoter. Enhancer elements can also be used in combination to increase the level of expression. Furthermore, the use of inducible promoters, which are also well known in the art, in some embodiments are preferred.

[0157] Promoters useful according to the present invention include but are not limited to poxvirus promoters such as an entomopox promoter, an avipox promoter, or an orthopox promoter such as a vaccinia promoter, e.g., HH, 11K or Pi. For example, the Pi promoter, from the Ava I H region of vaccinia, is described in Wachsman et al., J. of Inf. Dis. 155, 1188-1197 (1987). More particularly, this promoter is derived from the Ava I H(Xho I G) fragment of the L-variant WR vaccinia strain, in which the promoter directs transcription from right to left. The map location of the promoter is approximately 1.3 Kbp (kilobase pair) from the 5' end of Ava IH, approximately 12.5 Kbp from the 5' end of the vaccinia genome, and about 8.5 Kbp 5' of the Hind III C/N junction. The Hind III H promoter (also "HH" and "H6" herein) sequence is an up-stream of open reading frame H6 by Rosel et al., J. Virol. 60, 436-449 (1986). The 11K promoter is as described by Wittek, J. Virol. 49, 371-378 (1984) and Bertholet, C. et al., Proc. Natl. Acad. Sci. USA 82, 2096-2100 (1985). One can take advantage of whether the promoter is an early or late promoter to time expression of particular genes. Additionally, as discussed below, one can use inducible promoters.

[0158] The present invention also provides a poxvirus vector in which the promoter is modulated by an external factor or cue, allowing control of the level of

polypeptide being produced by the vectors by activating that external factor or cue. For example, heat shock proteins are proteins encoded by genes in which the promoter is regulated by temperature. The promoter of the gene which encodes the metal-containing protein metallothionein is responsive to Cd⁺ ions. Incorporation of this promoter or another promoter influenced by external cues also make it possible to regulate the production of the proteins.

[0159] In another preferred embodiment, the poxvirus genome is modified to carry a nucleic acid encoding a breast cancer associated antigen which is operably linked to an "inducible" promoter. Such inducible systems allow careful regulation of gene expression. See, Miller and Whelan, Human Gene Therapy, 8:803-815 (1997). The phrase "inducible promoter" or "inducible system" as used herein includes systems wherein promoter activity can be regulated using an externally delivered agent. Such systems include, for example, systems using the lac repressor from *E. coli* as a transcription modulator to regulate transcription from lac operator-bearing mammalian cell promoters (Brown et al. Cell, 49:603-612, 1987); systems using the tetracycline repressor (tetR)(Gossen and Bujard, Proc. Natl. Acad. Sci. USA 89: 5547-5551, 1992; Yao et al., Human Gene Ther. 9:1939-1950, 1998; Shokelt et al., Proc. Natl. Acad. Sci. USA 92:6522-6526, 1995). Other such systems include FK506 dimer, VP16 or p65 using castradiol, RU486/mifepristone, diphenol muristerone or rapamycin (see, Miller and Whelan, *supra*, at Figure 2). Yet another example is an ecdysone inducible system (see, e.g. Karns et al, MBC Biotechnology 1:11, 2001). Inducible systems are available, e.g., from Invitrogen, Clontech, and Ariad. Systems using a repressor with the operon are preferred. One would adapt these promoters by substituting portions of pox promoters for the mammalian promoter.

[0160] One embodiment of the present invention provides the use of a regulatory element such as a transcriptional regulatory element or an enhancer.

[0161] In one preferred embodiment of the present invention, a "transcriptional regulatory element" or "TRE" is introduced for regulation of the gene of interest. As used herein, a TRE is a polynucleotide sequence, preferably a DNA sequence, that regulates (i.e., controls) transcription of an operably-linked polynucleotide sequence by an RNA polymerase to form RNA. As used herein, a TRE increases transcription

of an operably linked polynucleotide sequence in a host cell that allows the TRE to function. The TRE comprises an enhancer element and/or pox promoter element, which may or may not be derived from the same gene. The promoter and enhancer components of a TRE may be in any orientation and/or distance from the coding sequence of interest, and comprise multimers of the foregoing, as long as the desired transcriptional activity is obtained. As discussed herein, a TRE may or may not lack a silencer element. For example, mammary gland specific regulatory element provide a group of TRE useful according to the present invention. An example is human alpha-lactalbumin (ALA) promoter (for specific construct, see, e.g. Anderson et al. *Cancer Gene Ther* 7:845-852, 2000).

[0162] Another preferred embodiment of the present invention provides an "enhancer" for regulation of the gene of interest. An enhancer is a term well understood in the art and is a polynucleotide sequence derived from a gene which increases transcription of a gene which is operably-linked to a promoter to an extent which is greater than the transcription activation effected by the promoter itself when operably-linked to the gene, i.e. it increases transcription from the promoter

[0163] Transcriptional activation can be measured in a number of ways known in the art (and described in more detail below), but is generally measured by detection and/or quantization of mRNA or the protein product of the coding sequence under control of (i.e., operatively linked to) the regulatory element. As discussed herein, the regulatory element can be of varying lengths, and of varying sequence composition. By transcriptional activation, it is intended that transcription will be increased above basal levels in the target cell by at least about 2-fold, preferably at least about 5-fold, preferably at least about 10-fold, more preferably at least about 20-fold. More preferably at least about 50-fold, more preferably at least about 100-fold, even more preferably at least about 200-fold, even more preferably at least about 400- to about 500-fold, even more preferably, at least about 1000-fold. Basal levels are generally the level of activity, if any, in a non-target cells, or the level of activity (if any) of a reporter construct lacking the TRE of interest as tested in a target cell type.

[0164] In the present invention, the poxvirus vectors directed at specific cancer target cells may also be generated with the use of TREs that are preferentially functional in the target tumor cells. In this embodiment, the poxvirus vector(s) are

administered directly at the site of the tumor (i.e. intratumoral injections), and a direct local reaction is desired. Non-limiting examples of tumor cell-specific heterologous TREs, and non-limiting examples of respective potential target breast cancer cells, include TREs from the following genes: mucin-like glycoprotein DF3 (MUC-1), carcinoembryonic antigen (CEA), plasminogen activator urokinase (uPA) and its receptor gene (breast, colon, and liver cancers), and HER-2/neu (c-erbB2/neu).

[0165] In the present invention, tumor-specific TREs may be used in conjunction with tissue-specific TREs such as vascular endothelial growth factor receptor.

Additional tissue specific TREs are known in the art.

Additional Genes

[0166] One preferred group of nucleic acids for insertion into the poxvirus vector useful according to the present invention encode antibodies. Antibodies have long been used in biomedical science as in vitro tools for the identification, purification and functional manipulation of target antigens. Antibodies have been exploited in vivo for both diagnostic and therapeutic applications. In many cases, the specific amino acid and even nucleotide sequences of the relevant portions of the heavy and light chains of monoclonal antibodies are known. For example, a poxvirus encoding at least the CDRs of the monoclonal antibody cancer drugs rituximab, trastuzumab and cetuximab can be produced. A poxvirus may encode full length tetrameric antibodies or single chain antibodies. In one embodiment, recent advances in antibody engineering have now allowed the gene encoding antibodies to be manipulated so that the antigen biding domain can also be expressed intracellularly. These intracellular antibodies are called "intrabodies" (Marasco et al. Gene Therapy, 4:11-15, 1997; U.S. Patent Nos. 5,965,371; 5,851,829; 6,329,173; and 6,072,036). Preferably the nucleic acids encoding intrabodies encode a single chain humanized antibody. One preferred antibody is an antibody against OX40 as described above (OX40 intrabody).

Administration of Poxvirus Vectors

[0167] Introduction of the viral vector carrying the gene to be delivered to the target host cell may be effected by any method known to those of skill in the art.

[0168] Administration of the recombinant poxvirus of the invention can be either "prophylactic" or "therapeutic" depending on the subject. When provided prophylactically, the recombinant poxvirus of the present invention is provided in advance of tumor formation to allow the individual's immune system to fight against a tumor that the individual is susceptible of developing. For example, individuals with hereditary cancer susceptibility are a preferred group of patients treated with such prophylactic immunization, another group is one that has been exposed to environmental agents that are linked to such cancer or live in a "hot spot" or breast tumor cluster.

[0169] The prophylactic administration of the recombinant poxvirus serves to prevent, ameliorate, or delay breast cancer. When provided therapeutically, the recombinant poxvirus is provided at or after the diagnosis of breast cancer. Thus the present invention may be provided to either prior to the anticipated breast cancer or after the initiation of the breast tumor formation.

[0170] For administration to a subject, the poxvirus of the present invention is prepared as an inoculum. The inoculum is typically prepared as a solution in a tolerable (acceptable) diluent such as saline, phosphate-buffered saline or other physiologically tolerable diluent and the like to form an aqueous pharmaceutical composition. The formulation can also contain 10% glycerol as a stabilizer or cryoprotectant, as many virus preparations require storage in a frozen state.

[0171] The route of inoculation may be scarification, intravenous (I.V.), intramuscular (I.M.), subcutaneous (S.C.), intradermal (I.D.), intraperitoneal (I.P.), intratumor and the like, which results in eliciting a protective response against the disease causing agent. In one preferred embodiment, subcutaneous administration is used. The dose is administered at least once. Subsequent doses may be administered as indicated.

[0172] The poxvirus can be administered directly into the tumor, e.g. by intratumoral injection, where a direct local reaction is desired, or the poxvirus can be administered at a site other than the tumor, for example by subcutaneous injection, where . Subcutaneous injection offers convenience and is a particularly preferred administration route.

[0173] In providing a mammal with the recombinant poxvirus of the present invention, preferably a human, the dosage of administered recombinant poxvirus will vary depending upon such factors as the mammal's age, weight, height, sex, general medical condition, previous medical history, disease progression, tumor burden and the like.

[0174] The term "unit dose" as it pertains to the inoculum refers to physically discrete units suitable as unitary dosages for mammals, each unit containing a predetermined quantity of recombinant poxvirus calculated to produce the desired immunogenic effect in association with the required diluent. The specifications for the novel unit dose of an inoculum of this invention are dictated by, and are dependent upon the unique characteristics of the recombinant virus and the particular immunologic effect to be achieved.

[0175] In general, it is desirable to provide the recipient with a dosage of recombinant virus in the range of about 10^5 to about 10^{10} plaque forming units (pfu), although a lower or higher dose may be administered. One preferred dosage is about 2×10^8 pfu, for example in a volume of about 0.5 ml.

[0176] One would inject a sufficient amount of the viral vectors to obtain a serum concentration in the organ of interest of the protein ranging between about 1 pg/ml to 20 μ g/ml. More preferably between about 0.1 μ g/ml to 10 μ g/ml. Still more preferably, between about 0.5 μ g/ml to 10 μ g/ml.

[0177] Examples of methods for administering the recombinant poxvirus into mammals include, but are not limited to, exposure of tumor cells to the recombinant virus ex vivo, or injection of the recombinant poxvirus into the affected host by intravenous, S.C., I.D. or I.M. administration of the virus. Alternatively the recombinant poxvirus or combination of recombinant vectors may be administered locally by direct injection into the cancerous lesion or tumor or topical application in a pharmaceutically acceptable carrier. The quantity of recombinant poxvirus carrying the nucleic acid sequence of one or more antigens in combination with nucleic acid sequences encoding multiple co-stimulatory molecules to be administered is based on the titer of virus particles. A preferred range of the immunogen to be administered is about 10^5 to 10^{12} (e.g., about 10^7 to 10^{10} plaque forming units (pfu) per subject. The equivalence of pfu to virus particles differ according to the specific pfu titration

method used, though one pfu usually is equal to about 5 to 100 virus particles. If the mammal to be immunized is already afflicted with cancer or metastatic cancer, the vaccine can be administered in conjunction with other therapeutic treatments such as chemotherapy or radiation.

[0178] The schedule for administration of the poxvirus vectors typically involves repeated administration of the boosting vector, as described above. For example, the initial priming vector may be administered 1 – 3 times, for example every 2-4 weeks for a total of 6 – 12 weeks. The boosting vector is then administered every 2-4 weeks thereafter, for example for a total of at least 5 – 15 boosting vaccinations. In one preferred embodiment, the subject receives one vaccination with the priming vector, followed every 2 weeks thereafter with the boosting vector for 6 boosts, followed by every 4 weeks thereafter and continuing depending upon disease progression.

[0179] The system of the invention may be used advantageously in combination with any other treatment regimen for breast cancer. Treatments for breast cancer are well known in the art and continue to be developed. Treatments include but are not limited to surgery, including axillary dissection, sentinel lymph node biopsy, reconstructive surgery, surgery to relieve symptoms of advanced cancer, lumpectomy (also called breast conservation therapy), partial (segmental) mastectomy, simple or total mastectomy, modified radical mastectomy, and radical mastectomy; hormone therapy using a drug such as tamoxifen, which blocks the effects of estrogen; aromatase inhibitors, which stop the body from making estrogen; immunotherapy, e.g. using Herceptin™ (trastuzumab), an anti-HER2 humanized monoclonal antibody developed to block the HER2 receptor; bone marrow transplantation; peripheral blood stem cell therapy; bisphosphonates; additional chemotherapy agents; radiation therapy; acupressure; and acupuncture. Any combination of therapies may be used in conjunction with the present invention.

[0180] Figure 12 provides a broad overview of typical treatment options for each stage of breast cancer, including the estimated number of new cases for 2004 and the estimated 5-year survival rates (based on the SEER Cancer Statistics Review, 1975 – 2001, NCDB, CoC, ACoS, American Cancer Society, AJCC Cancer Staging Manual, Fifth edition).

[0181] New treatments for cancers, including breast cancers, continue to be developed. The poxvirus vectors of the present invention can be used with any such new therapies. For example, Gemzar, Tarceva, Avastin, and Targretin.

[0182] Particularly preferred chemotherapy agents for use in combination with the poxvirus vectors of the present invention include doxorubicin, paclitaxel, 5-fluorouracil, cyclophosphamide, and tamoxifen.

[0183] In one particularly preferred embodiment, the poxvirus vector system PANVAC™-VF, described below in Example 11 and in Table 1, is used in combination with chemotherapy. In one preferred embodiment, the chemotherapy agent is docetaxel.

[0184] The system of the present invention is particularly advantageous in treating individuals whose cancer cells are not hormone sensitive and therefore do not respond to, e.g. tamoxifen treatment or ovariectomy. The invention can also be used in treatment of cancer that does not overexpress HER2 and is therefore not responsive to anti-HER2 treatment. The invention also provides a useful treatment option for early stages of cancer and recurrent cancer. Another useful target cancer is an inherited form of breast cancer caused by mutations, such as the mutations described above.

[0185] Furthermore, as is known in the art diagnosis of breast cancer is divided into various stages, inflammatory breast cancer and recurrent cancer. The early stage is called Stage 0 which includes ductal carcinoma in situ (DCIS) and lobular carcinoma in situ (LCIS) or more appropriately "lobular neoplasia." DCIS is a noninvasive neoplasm of ductal origin that can progress to invasive cancer in some cases. Traditionally, mastectomy has been used to treat DCIS. Recently also lumpectomy (breast conserving surgery) with consequent irradiation therapy has been used. LCIS it is not known to be a premalignant lesion, but rather a marker that identifies women at an increased risk for subsequent development of invasive breast cancer. Patients are often treated with tamoxifen which has been shown to prevent occurrence of cancer in risk groups. In some cases total mastectomy can be performed.

[0186] For example, if the patient is diagnosed with DCIS, the system of the present invention can be used in combination with lumpectomy with or without irradiation, preferably without irradiation. The system of the present invention is

particularly useful in treating LCIS in cases where the neoplasm is not associated with estrogen responsive precancerous cells. The system of the present invention can be used in combination with or instead of tamoxifen therapy in patients diagnosed with LCIS, preferably in combination with tamoxifen, to avoid radical surgical interventions and associated physical and psychological suffering by the patient.

[0187] Treatment of metastatic breast cancer usually involves hormone therapy and/or chemotherapy with or without trastuzumab. Radiation therapy and/or surgery may be indicated for patients with limited symptomatic metastases. The present invention provides an additional or alternative tool to more toxic chemotherapeutic interventions by providing a system to provoke host's own immune system against the tumor cells. The system of the present invention is particularly useful in treating advanced stages of the breast cancer (metastatic) in conjunction with anti-angiogenic agents and/or hormone therapy, because such agents and therapy, unlike chemotherapeutic agents, do not adversely effect the immune system.

[0188] Other examples of anti-cancer drugs that may be used in the various embodiments of the invention, including pharmaceutical compositions and dosage forms and kits of the invention, include, but are not limited to: acivicin; aclarubicin; acodazole hydrochloride; acronine; adozelesin; aldesleukin; altretamine; ambomycin; ametantrone acetate; aminoglutethimide; amsacrine; anastrozole; anthramycin; asparaginase; asperlin; azacitidine; azetepa; azotomycin; batimastat; benzodepa; bicalutamide; bisantrene hydrochloride; bisnafide dimesylate; bizelesin; bleomycin sulfate; brequinar sodium; bropirimine; busulfan; cactinomycin; calusterone; caracemide; carbetimer; carboplatin; carmustine; carubicin hydrochloride; carzelesin; cedefingol; chlorambucil; cirolemycin; cisplatin; cladribine; crisnatol mesylate; cyclophosphamide; cytarabine; dacarbazine; dactinomycin; daunorubicin hydrochloride; decitabine; dexormaplatin; dezaguanine; dezaguanine mesylate; diaziquone; docetaxel; doxorubicin; doxorubicin hydrochloride; droloxifene; droloxifene citrate; dromostanolone propionate; duazomycin; edatrexate; eflornithine hydrochloride; elsamitruclin; enloplatin; enpromate; epipropidine; epirubicin hydrochloride; erbulazole; esorubicin hydrochloride; estramustine; estramustine phosphate sodium; etanidazole; etoposide; etoposide phosphate; etoprine; fadrozole hydrochloride; fazarabine; fenretinide; floxuridine; fludarabine phosphate;

fluorouracil; flurocitabine; fosquidone; fostriecin sodium; gemcitabine; gemcitabine hydrochloride; hydroxyurea; idarubicin hydrochloride; ifosfamide; ilmofosine; interleukin II (including recombinant interleukin II, or rIL2), interferon alfa-2a; interferon alfa-2b; interferon alfa-n1 ; interferon alfa-n3; interferon beta-I a; interferon gamma-I b; iproplatin; irinotecan hydrochloride; lanreotide acetate; letrozole; leuprolide acetate; liarozole hydrochloride; lometrexol sodium; lomustine; losoxantrone hydrochloride; masoprocol; maytansine; mechlorethamine, mechlorethamine oxide hydrochloride rethamine hydrochloride; megestrol acetate; melengestrol acetate; melphalan; menogaril; mercaptopurine; methotrexate; methotrexate sodium; metoprine; meturedepa; mitindomide; mitocarcin; mitocromin; mitogillin; mitomalcin; mitomycin; mitosper; mitotane; mitoxantrone hydrochloride; mycophenolic acid; nocodazole; nogalamycin; ormaplatin; oxisuran; paclitaxel; pegaspargase; peliomycin; pentamustine; peplomycin sulfate; perfosfamide; pipobroman; piposulfan; piroxantrone hydrochloride; plicamycin; plomestane; porfimer sodium; porfiromycin; prednimustine; procarbazine hydrochloride; puromycin; puromycin hydrochloride; pyrazofurin; riboprine; rogletimide; safingol; safingol hydrochloride; semustine; simtrazene; sparfosate sodium; sparsomycin; spirogermanium hydrochloride; spiromustine; spiroplatin; streptonigrin; streptozocin; sulofenur; talisomycin; tecogalan sodium; tegafur; teloxantrone hydrochloride; temoporfin; teniposide; teroxirone; testolactone; thiamiprime; thioguanine; thiotepa; tiazofurin; tirapazamine; toremifene citrate; trestolone acetate; triciribine phosphate; trimetrexate; trimetrexate glucuronate; triptorelin; tubulozole hydrochloride; uracil mustard; uredepa; vapreotide; verteporfin; vinblastine sulfate; vincristine sulfate; vindesine; vindesine sulfate; vinepidine sulfate; vinglycinate sulfate; vinleurosine sulfate; vinorelbine tartrate; vinrosidine sulfate; vinzolidine sulfate; vorozole; zeniplatin; zinostatin; zorubicin hydrochloride, imrosulfan, benzodepa, carboquone, triethylenemelamine, triethylenephosphoramide, triethylenethiophosphoramide, trimethylolomelamine, chlornaphazine, novembichin, phenesterine, trofosfamide, estermustine, chlorozotocin, gemzar, nimustine, ranimustine, dacarbazine, mannomustine, mitobronitol, aclacinomycins, actinomycin F(1), azaserine, bleomycin, carubicin, carzinophilin, chromomycin, daunorubicin, daunomycin, 6-diazo-5-oxo-1-norleucine, doxorubicin, olivomycin, plicamycin, porfiromycin, puromycin,

tubercidin, zorubicin, denopterin, pteropterin, 6-mercaptopurine, ancitabine, 6-azauridine, carmofur, cytarabine, dideoxyuridine, enocitabine, pulmozyme, aceglatone, aldophosphamide glycoside, bestrabucil, defofamide, demecolcine, elfornithine, elliptinium acetate, etoglucid, flutamide, hydroxyurea, lentinan, phenamet, podophyllinic acid, 2-ethylhydrazide, razoxane, spirogermanium, tamoxifen, tenuazonic acid, triaziquone, 2,2',2"-trichlorotriethylamine, urethan, vinblastine, vincristine, vindesine and related agents. 20-epi-1,25 dihydroxyvitamin D3; 5-ethynyluracil; abiraterone; aclarubicin; acylfulvene; adecyepol; adozelesin; aldesleukin; ALL-TK antagonists; altretamine; ambamustine; amidox; amifostine; aminolevulinic acid; amrubicin; amsacrine; anagrelide; anastrozole; andrographolide; angiogenesis inhibitors; antagonist D; antagonist G; antarelix; anti-dorsalizing morphogenetic protein-1; antiandrogen, prostatic carcinoma; antiestrogen; antineoplaston; antisense oligonucleotides; aphidicolin glycinate; apoptosis gene modulators; apoptosis regulators; apurinic acid; ara-CDP-DL-PTBA; arginine deaminase; asulacrine; atamestane; atrimustine; axinastatin 1; axinastatin 2; axinastatin 3; azasetron; azatoxin; azatyrosine; baccatin III derivatives; balanol; batimastat; BCR/ABL antagonists; benzochlorins; benzoylstaurosporine; beta lactam derivatives; beta-alethine; betaclamycin B; betulinic acid; bFGF inhibitor; bicalutamide; bisantrene; bisaziridinylspermine; bisnafide; bistratene A; bizelesin; breflate; bropirimine; budotitane; buthionine sulfoximine; calcipotriol; calphostin C; camptothecin derivatives; canarypox IL-2; capecitabine; carboxamide-amino-triazole; carboxyamidotriazole; CaRest M3; CARN 700; cartilage derived inhibitor; carzelesin; casein kinase inhibitors (ICOS); castanospermine; cecropin B; cetrorelix; chlorlins; chloroquinoxaline sulfonamide; cicaprost; cis-porphyrin; cladribine; clomifene analogues; clotrimazole; collismycin A; collismycin B; combretastatin A4; combretastatin analogue; conagenin; crambescidin 816; crisnatol; cryptophycin 8; cryptophycin A derivatives; curacin A; cyclopentanthraquinones; cycloplatam; cypemycin; cytarabine ocfosfate; cytolytic factor; cytostatin; daclizimab; decitabine; dehydrodidegnin B; deslorelin; dexamethasone; dexifosfamide; dexrazoxane; dexverapamil; diaziquone; didemnin B; didox; diethylnorspermine; dihydro-5-azacytidine; dihydrotaxol, 9-; dioxamycin; diphenyl spiomustine; docetaxel; docosanol; dolasetron; doxifluridine; droloxifene; dronabinol; duocarmycin SA;

ebselen; ecomustine; edelfosine; edrecolomab; eflornithine; elemene; emitefur; epirubicin; epristeride; estramustine analogue; estrogen agonists; estrogen antagonists; etanidazole; etoposide phosphate; exemestane; fadrozole; fazarabine; fenretinide; filgrastim; finasteride; flavopiridol; flezelastine; fluasterone; fludarabine; fluorodaunorubicin hydrochloride; forfenimex; formestane; fostriecin; fotemustine; gadolinium texaphyrin; gallium nitrate; galocitabine; ganirelix; gelatinase inhibitors; gemcitabine; glutathione inhibitors; hepsulfam; heregulin; hexamethylene bisacetamide; hypericin; ibandronic acid; idarubicin; idoxifene; idramantone; ilmofosine; ilomastat; imidazoacridones; imiquimod; immunostimulant peptides; insulin-like growth factor-1 receptor inhibitor; interferon agonists; interferons; interleukins; iobenguane; iododoxorubicin; ipomeanol, 4-; iroplact; irsogladine; isobengazole; isohomohalicondrin B; itasetron; jasplakinolide; kahalalide F; lamellarin-N triacetate; lanreotide; leinamycin; lenograstim; lentinan sulfate; leptolstatin; letrozole; leukemia inhibiting factor; leukocyte alpha interferon; leuprolide+estrogen+progesterone; leuprorelin; levamisole; liarozole; linear polyamine analogue; lipophilic disaccharide peptide; lipophilic platinum compounds; lissoclinamide 7; lobaplatin; lombricine; lometrexol; lonidamine; losoxantrone; lovastatin; loxoribine; lurtotecan; lutetium texaphyrin; lysofylline; lytic peptides; maitansine; manostatin A; marimastat; masoprolol; maspin; matrilysin inhibitors; matrix metalloproteinase inhibitors; menogaril; merbarone; meterelin; methioninase; metoclopramide; MIF inhibitor; mifepristone; miltefosine; mirimostim; mismatched double stranded RNA; mitoguazone; mitolactol; mitomycin analogues; mitonafide; mitotoxin fibroblast growth factor-saporin; mitoxantrone; mofarotene; molgramostim; monoclonal antibody, human chorionic gonadotrophin; monophosphoryl lipid A+myobacterium cell wall sk; molidamol; multiple drug resistance gene inhibitor; multiple tumor suppressor 1-based therapy; mustard anticancer agent; mycaperoxide B; mycobacterial cell wall extract; myriaporone; N-acetyl-dinaline; N-substituted benzamides; nafarelin; nateglinide; naloxone+pentazocine; napavin; naphterpin; nartograstim; nedaplatin; nemorubicin; neridronic acid; neutral endopeptidase; nilutamide; nisamycin; nitric oxide modulators; nitroxide antioxidant; nitrullyn; O6-benzylguanine; octreotide; okicenone; oligonucleotides; onapristone; ondansetron; ondansetron; oracin; oral cytokine inducer; ormaplatin; osaterone; oxaliplatin;

oxaunomycin; taxel; taxel analogues; taxel derivatives; palauamine; palmitoylrhizoxin; pamidronic acid; panaxytriol; panomifene; parabactin; pazelliptine; pegaspargase; peldesine; pentosan polysulfate sodium; pentostatin; pentrozole; perflubron; perfosfamide; perillyl alcohol; phenazinomycin; phenylacetate; phosphatase inhibitors; picibanil; pilocarpine hydrochloride; pirarubicin; piritrexim; placetin A; placetin B; plasminogen activator inhibitor; platinum complex; platinum compounds; platinum-triamine complex; porfimer sodium; porfiromycin; prednisone; propyl bis-acridone; prostaglandin J2; proteasome inhibitors; protein A-based immune modulator; protein kinase C inhibitor; protein kinase C inhibitors, microalgal; protein tyrosine phosphatase inhibitors; purine nucleoside phosphorylase inhibitors; purpurins; pyrazoloacridine; pyridoxylated hemoglobin polyoxyethylene conjugate; raf antagonists; raltitrexed; ramosetron; ras farnesyl protein transferase inhibitors; ras inhibitors; ras-GAP inhibitor; retelliptine demethylated; rhenium Re 186 etidronate; rhizoxin; ribozymes; RII retinamide; rogletimide; rohitukine; romurtide; roquinimex; rubiginone B1; ruboxyl; safingol; saintopin; SarCNU; sarcophytol A; sargramostim; Sdi 1 mimetics; semustine; senescence derived inhibitor 1; sense oligonucleotides; signal transduction inhibitors; signal transduction modulators; single chain antigen binding protein; sizofiran; sobuzoxane; sodium borocaptate; sodium phenylacetate; solverol; somatomedin binding protein; sonermin; sparfosic acid; spicamycin D; spiromustine; splenopentin; spongistatin 1; squalamine; stem cell inhibitor; stem-cell division inhibitors; stipiamide; stromelysin inhibitors; sulfinosine; superactive vasoactive intestinal peptide antagonist; suradista; suramin; swainsonine; synthetic glycosaminoglycans; tallimustine; tamoxifen methiodide; tauromustine; tazarotene; tecogalan sodium; tegafur; tellurapyrylium; telomerase inhibitors; temoporfin; temozolomide; teniposide; tetrachlorodecaoxide; tetrazomine; thaliblastine; thiocoraline; thrombopoietin; thrombopoietin mimetic; thymalfasin; thymopoietin receptor agonist; thymotrinan; thyroid stimulating hormone; tin ethyl etiopurpurin; tirapazamine; titanocene bichloride; topsentin; toremifene; totipotent stem cell factor; translation inhibitors; tretinoin; triacetyluridine; triciribine; trimetrexate; triptorelin; tropisetron; turosteride; tyrosine kinase inhibitors; tyrophostins; UBC inhibitors; ubenimex; urogenital sinus-derived growth inhibitory factor; urokinase receptor antagonists;

vapreotide; variolin B; vector system, erythrocyte gene therapy; velaresol; veramine; verdins; verteporfin; vinorelbine; vinxaltine; vitaxin; vorozole; zanoterone; zeniplatin; zilascorb; and zinostatin stimalamer. Preferred additional anti-cancer drugs are 5-fluorouracil and leucovorin. Additional cancer therapeutics include monoclonal antibodies such as rituximab, trastuzumab and cetuximab.

[0189] The magnitude of a prophylactic or therapeutic dose of each active ingredient in the treatment of a patient with a solid tumor will typically vary with the specific active ingredients, the severity and type of tumor, and the route of administration. The dose and the dose frequency may vary according to age, body weight, response, and the past medical history of the patient; the likelihood of metastatic recurrence must also be considered. Suitable dosing regimens can be readily selected by those skilled in the art with due consideration of such factors by following, for example, dosages reported in the literature and recommended in the Physician's Desk Reference® (54th ed., 2000). Unless otherwise indicated, the magnitude of a prophylactic or therapeutic dose of each pharmaceutical used in an embodiment of the invention will be that which is known to those in the art to be safe and effective, or is regulatory approved.

[0190] The present invention also provides a pharmaceutical composition comprising a recombinant poxvirus, including a recombinant poxvirus, and a pharmaceutically acceptable carrier.

[0191] In addition to being a treatment system with practically no toxic side effects, the effect of the genetic material delivered using the system of the invention can be easily and carefully monitored and regulated. Preferred poxvirus vectors such as swinepox only express the genetic material for about two weeks. Thus, if effective treatment is provided within that time frame and because the vector system is self limiting, no unnecessary material will be produced after that time period. Where additional dosages are needed, additional administration of the material can be accomplished by repeating the injection. As described above, in certain cases, the addition of a second, third, etc. antigenic or immunostimulatory material can also be added with the subsequent vectors.

[0192] The references cited throughout the specification are herein incorporated by reference in their entirety.

EXAMPLES

EXAMPLE 1: rV-MUC-1

[0193] rV-MUC-1 consists of a live recombinant vaccinia virus that expresses the human MUC-1 tumor antigen. MUC-1 is overexpressed in a number of cancers, including breast, ovarian, and pancreatic carcinomas. In addition, abnormal glycosylation of MUC-1 in carcinoma cells makes the tumor-derived MUC-1 antigenically distinct from normal MUC-1.

[0194] In summary, the parental virus used for the generation of the rV-MUC-1 vaccine was a plaque isolate from the seed stock of virus used by Wyeth to produce the licensed Dryvax® Smallpox Vaccine. rV-MUC-1 was constructed via homologous recombination *in vivo* between the parental vaccinia virus DNA and a plasmid vector that contains the MUC-1 gene. The plasmid vector also carries the *E. coli lacZ* gene, which was simultaneously inserted into the recombinant genome with the MUC-1 gene. A chromogenic assay for β -galactosidase, encoded by the *lacZ* gene, was used to select the final vaccine candidate, which was verified by genomic and protein expression analysis. The recombinant virus was then used to generate a master virus stock, which was characterized by genomic and protein expression analysis and by testing for potency, sterility, mycoplasma, and reverse transcriptase activity. All test results have supported the identity and safety of the recombinant virus for use in vaccine production.

[0195] The plasmid vector (pT2137) used for insertion of the MUC-1 gene into the parental vaccinia virus genome by *in vivo* recombination is illustrated in Figure 1. This vector contains the following elements: (1) a prokaryotic origin of replication to allow amplification of the vector in a bacterial host; (2) the gene encoding resistance to the antibiotic ampicillin, to permit selection of prokaryotic host cells that contain the plasmid; (3) DNA sequences homologous to the Hind III J region of the vaccinia genome, which direct insertion of foreign sequences into this region via homologous recombination; (4) a chimeric gene comprising the vaccinia 40K transcriptional promoter linked to the MUC-1 gene; (5) a second chimeric gene comprising the fowlpox C1 transcriptional promoter linked to the *E. coli lacZ* gene.

[0196] The plasmid backbone, including the bacterial origin of replication and the ampicillin resistance gene, was derived from the plasmid vector pUC8 by deletion of a 442 base pair (bp) Hae II fragment containing the pUC8 polylinkers and *lacZ* gene. A linker containing a single Hind III site was inserted in the unique *Nde* I site in this vector to facilitate additional cloning. The vaccinia Hind III J sequences and the 40K promoter were isolated from genomic DNA prepared from the WR strain (Panicali et al., 1981) of vaccinia virus. Sequences from the Hind III J region, which flank the MUC-1 and *lacZ* genes, include a 508 bp Dra I-EcoR I fragment upstream of the 40K-MUC-1 sequence and a 633 bp EcoR I-Dra I fragment downstream of the C1-*lacZ* sequence. The 40K promoter element was isolated as a 161 bp Dra I-FnuD II fragment from the Hind III H region of vaccinia virus (Rosel et al., *J. Virol.* 60:436-49 (1986)). The C1 promoter element was isolated as a 240 bp Sau3A I fragment from fowlpox virus (Jenkins et al., 1991). The *E. coli* *lacZ* gene was isolated as a 3100 bp BamH I fragment from pDP500 (Panicali et al., 1986).

[0197] The gene encoding MUC-1 was isolated at the Dana-Farber Cancer Institute from a cDNA library derived from RNA from the human MCF-7 breast carcinoma cell line (Siddiqui et al., *Proc. Natl. Acad. Sci. USA* 85:2320-23 (1989)). A truncated version of the gene was used, consisting of the signal sequence, six related but not identical tandem repeat sequences, and the 3' unique coding sequence. The gene was contained on a 1831 bp fragment which includes the truncated coding sequence, 6 nucleotides of the 5' untranslated region, and 298 nucleotides of the 3' untranslated region (Gendler et al., *J. Biol. Chem.* 265:15286-93 (1990)).

[0198] The structure of the plasmid transfer vector was verified by restriction endonuclease digestion using Hind III and Xba I. In addition, the products of digestion with these enzymes were subjected to Southern blot analysis using labeled probes corresponding to the MUC-1 gene and to the vaccinia Hind III J sequences. The DNA fragments visualized by these methods were of the predicted sizes, and the presence of the MUC-1 gene was unequivocally demonstrated, thus confirming the predicted structure of the plasmid.

[0199] A plaque-purified isolate from the Wyeth (New York City Board of Health) strain of vaccinia was used as the parental virus for this recombinant vaccine. *In vivo* recombination between the plasmid vector and the viral DNA resulted in the formation of a recombinant virus in which the vaccinia TK gene sequence was

interrupted by the MUC-1 gene, under the transcriptional direction of the 40K promoter, and the *lacZ* gene, under the control of the C1 promoter, as illustrated in Figure 2.

[0200] A chromogenic assay for β -galactosidase was used to identify and isolate recombinant viruses containing the *lacZ* and MUC-1 sequences. This method takes advantage of the ability of vaccinia virus to form distinct plaques when grown on permissive cell monolayers. After *in vivo* recombination, cells were infected with progeny virus until distinct plaques were visible, at which time the plaques were overlaid with a chromogenic substrate for β -galactosidase (Bluo-GalTM). Viral plaques expressing *lacZ* appeared blue against a clear background. Positive plaques were picked from the cell monolayer and their progeny were further propagated. Repeated rounds of plaque isolation and replating in the presence of Bluo-Gal resulted in the purification of the desired recombinant, which was then amplified and subjected to genomic and protein expression analysis.

[0201] The host cell line used for the preparation of the rV-MUC-1 recombinant virus was the African green monkey kidney cell line CV-1, obtained from the American Type Culture Collection (ATCC # CCL 70). Master and working cell banks (MCB and WCB) were established and characterized according to the Points to Consider recommendations. All data supported the safety of the cell line for use in vaccine production (BB-MF 6587, Volume 1, p. 40-49 and Amendment # 2).

[0202] rV-MUC-1 was manufactured by infection of primary chicken embryo dermal (CED) cells, obtained from specific pathogen-free chickens, with the recombinant virus. CED cells were seeded into roller bottles and infected with the master virus stock. At the end of the infection period, the cells were harvested and samples were removed for in-process testing. Cells were then lysed by freezing and thawing to release the virus. The cell lysate was clarified by low speed centrifugation and the virus was purified by centrifugation through a 36% sucrose cushion. Purified bulk virus was stored at -70°C or colder until the titer was determined. The purified bulk virus was then thawed and the concentration adjusted accordingly. The product was delivered aseptically into sterile vials and stored at -70°C or colder.

[0203] In-process and final product testing of rV-MUC-1 were performed according to 21 CFR Part 610 and the Points to Consider recommendations. The

crude bulk product was analyzed for the presence of various contaminants, including bacteria and fungi, mycoplasma, *M. tuberculosis*, and adventitious viruses. The *in vitro* assay for adventitious viruses required specialized treatment (dilution and neutralization) of the test material in order to eliminate interference by the product vaccinia virus. The final container was tested for sterility, identity (genomic and protein expression analysis), potency (virus titration), general safety, appearance, and purity. General safety testing was performed using a 1:10 dilution of the final container material, again to eliminate interference by the product vaccinia virus. Additional product characterization included quantitation of serum and cellular DNA present in the final product, and assessment of vaccine stability.

[0204] The preclinical safety testing of rV-MUC-1 comprised assessment of neurovirulence, which was evaluated by a standard intracranial LD₅₀ test in weanling mice. Results indicated that the product was less neurovirulent than the licensed Dryvax Smallpox Vaccine, and supported the safety of this vaccine for human administration.

EXAMPLE 2: rV-CEA(6D)/TRICOM

[0205] rV-CEA(6D)/TRICOM consists of a live recombinant vaccinia virus that co-expresses a modified form of carcinoembryonic antigen (CEA), leukocyte function associated antigen-3 (LFA-3), intercellular adhesion molecule-1 (ICAM-1), and B7.1. CEA is an oncofetal protein that is overexpressed in human colorectal, gastric, pancreatic, breast, and non-small cell lung carcinomas. LFA-3, ICAM-1, and B7.1 are costimulatory molecules expressed on antigen-presenting cells that are required for the efficient activation of T cells.

[0206] In summary, the parental virus used for the generation of this vaccine was a plaque isolate from the seed stock of virus used by Wyeth to produce the licensed Dryvax® Smallpox Vaccine. As shown in Figure 4, rV-CEA(6D)/TRICOM was constructed via homologous recombination *in vivo* between the parental vaccinia DNA and a plasmid vector, pT8016 (see Figure 3), that contains the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. The plasmid vector also carries the *E. coli lacZ* gene, which was simultaneously inserted into the recombinant genome with the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. A chromogenic assay for β-galactosidase, encoded

by the *lacZ* gene, was used to select the final vaccine candidate, which was verified by genomic and protein expression analysis. The recombinant virus was then used to generate a master virus stock, which was characterized by genomic and protein expression analysis and by testing for potency, sterility, mycoplasma, and reverse transcriptase activity. All test results have supported the identity and safety of the recombinant virus for use in vaccine production.

[0207] The plasmid vector (pT8016) used for insertion of the CEA(6D), LFA-3, ICAM-1, and B7.1 genes into the parental vaccinia virus genome by *in vivo* recombination is illustrated in Figure 3. This vector contains the following elements: (1) a prokaryotic origin of replication to allow amplification of the vector in a bacterial host; (2) the gene encoding resistance to the antibiotic ampicillin, to permit selection of prokaryotic host cells that contain the plasmid; (3) DNA sequences homologous to the Hind III J region of the vaccinia genome, which direct insertion of foreign sequences into this region via homologous recombination; (4) a chimeric gene comprising the vaccinia 40K transcriptional promoter linked to the CEA(6D) gene; (5) a second chimeric gene comprising the vaccinia 30K transcriptional promoter linked to the LFA-3 gene; (6) a third chimeric gene comprising the vaccinia I3 transcriptional promoter linked to the ICAM-1 gene; (7) a fourth chimeric gene comprising the sE/L transcriptional promoter linked to the B7.1 gene; (8) a fifth chimeric gene comprising the fowlpox C1 transcriptional promoter linked to the *E. coli lacZ* gene.

[0208] The plasmid backbone of pT8016, including the bacterial origin of replication and the ampicillin resistance gene, was derived from the plasmid vector pUC8 by deletion of a 442 base pair (bp) Hae II fragment containing the pUC8 polylinkers and *lacZ* gene. A linker containing a single Hind III site was inserted in the unique Nde I site in this vector to facilitate additional cloning. The vaccinia Hind III J sequences and the vaccinia promoter sequences were isolated from genomic DNA prepared from the vaccinia WR strain (Panicali et al., *J. Virol.* 37:1000-10 (1981)) or from TBC-Wy. Sequences from the Hind III J region, which flank the CEA(6D), LFA-3, ICAM-1, B7.1 and *lacZ* genes, include a 508 bp Dra I-EcoR I fragment upstream of the 40K-CEA(6D) sequence and a 633 bp EcoR I-Dra I fragment downstream of the C1-*lacZ* sequence. The 40K promoter element was

isolated as a 161 bp Dra I - FnuD II fragment from the Hind III H region²⁰ of vaccinia virus strain WR. The 30K (M2L) promoter element was isolated as a 415 bp Sal I-Rsa I fragment from the Hind III M region of the vaccinia genome (Goebel et al., *Virology* 179:247-66 (1990)). The I3 promoter element was isolated by polymerase chain reaction (PCR) amplification of a 201 bp sequence immediately 5' to the translation initiation codon of the I3 gene (Schmitt et al., *J. Virol.* 62:1889-97)). The sE/L promoter was isolated as a 60 bp Hind III-Sal I fragment from pJS-8, a derivative of pSC65 (Chakrabarti et al., *BioTechniques* 23:1094-97 (1997)). The C1 promoter element was isolated as a 240 bp Sau3A I fragment from fowlpox virus (Jenkins et al., *AIDS Res. Hum. Retrovir.* 7:991-9 (1991)). The *E. coli lacZ* gene was isolated as a 3100 bp BamH I fragment from pDP500 (Panicali et al., *Gene* 47:193-9 (1986)).

[0209] CEA sequences were isolated from a human cDNA clone from a colon carcinoma cell cDNA library constructed at the National Cancer Institute (Kaufman et al., *Int. J. Cancer* 48:900-7 (1991)). The CEA gene was then altered by *in vitro* mutagenesis to express full-length protein containing one modified epitope. This mutation changed the encoded amino acid at position 609 (where the amino acids are numbered beginning at the first methionine, including the leader sequence) from asparagine to aspartic acid. The modified gene, designated CEA(6D), was designed to enhance the immunogenicity of CEA. The CEA(6D) gene was contained on a 2109 bp fragment which includes the entire coding sequence for CEA and none of the 5' or 3' untranslated region. The gene encoding LFA-3 was isolated at the National Cancer Institute by PCR amplification of Human Spleen Quick-Clone cDNA (Clontech Inc.) using the published sequence (Wallner et al., *J. Exp. Med.* 166:923-32 (1987)). The gene was contained on a 759 bp fragment which includes the entire coding sequence for LFA-3, 2 nucleotides of the 5' untranslated region, and 4 nucleotides of the 3' untranslated region. The gene encoding ICAM-1 was isolated at the National Cancer Institute by PCR amplification of cDNA reverse-transcribed from RNA from an Epstein-Barr Virus-transformed B cell line derived from a healthy male, using the published sequence (Staunton et al., *Cell* 52:925-33 (1988)). The gene was contained on a 1721 bp fragment which includes the entire coding sequence for ICAM-1, 29 nucleotides of the 5' untranslated region, and 93 nucleotides of the 3' untranslated

region. The gene encoding B7.1 was isolated at the National Cancer Institute by PCR amplification of cDNA derived from RNA from the human Raji cell line (ATCC # CCL 86), using the published sequence (Chen et al., *Cell* 71:1093-1102 (1992)). The gene was contained on a 1180 bp fragment which includes the entire coding sequence for B7.1, 22 nucleotides of the 5' untranslated region, and 291 nucleotides of the 3' untranslated region.

[0210] The structure of the plasmid transfer vector was verified by restriction endonuclease digestion. In addition, the products of digestion were subjected to Southern blot analysis using labeled probes corresponding to the CEA(6D), LFA-3, ICAM-1, and B7.1 genes and to the vaccinia Hind III J sequences. The DNA fragments visualized by these methods were of the predicted sizes, and the presence of the CEA(6D), LFA-3, ICAM-1, and B7.1 genes was unequivocally demonstrated, thus confirming the predicted structure of the plasmid.

[0211] A plaque-purified isolate from the Wyeth (New York City Board of Health) strain of vaccinia was used as the parental virus for this recombinant vaccine. *In vivo* recombination between the plasmid vector and the viral DNA resulted in the formation of a recombinant virus in which the thymidine kinase gene was interrupted by the CEA(6D) gene, under the transcriptional control of the vaccinia 40K promoter, the LFA-3 gene, under the transcriptional control of the vaccinia 30K promoter, the ICAM-1 gene, under the transcriptional control of the vaccinia I3 promoter, the B7.1 gene, under the transcriptional control of the sE/L promoter, and the *lacZ* gene, under the control of the C1 promoter, as illustrated in Figure 4.

[0212] To identify and isolate recombinant viruses containing the *lacZ*, CEA(6D), LFA-3, ICAM-1, and B7.1 sequences, the chromogenic β -galactosidase method (Bluo-GalTM) described in Example 1, above, was used.

[0213] As described in detail above in Example 1, the host cell line used for the preparation of the rV-CEA(6D)/TRICOM recombinant virus was the African green monkey kidney cell line CV-1.

[0214] rV-CEA(6D)/TRICOM was manufactured, including in-process and final product safety testing, by infection of primary chicken embryo dermal (CED) cells with the recombinant virus, as described in detail in Example 1.

EXAMPLE 3: rF-CEA(6D)/TRICOM

[0215] rF-CEA(6D)/TRICOM consists of a live recombinant fowlpox virus that co-expresses a modified form of carcinoembryonic antigen (CEA), leukocyte function associated antigen-3 (LFA-3), intercellular adhesion molecule-1 (ICAM-1), and B7.1. CEA is an oncofetal protein that is overexpressed in human colorectal, gastric, pancreatic, breast, and non-small cell lung carcinomas. LFA-3, ICAM-1, and B7.1 are costimulatory molecules expressed on antigen-presenting cells that are required for the efficient activation of T cells.

[0216] In summary, the parental virus used for the generation of this vaccine was plaque-purified from a tissue culture-adapted vaccine strain of FPV. rF-CEA(6D)/TRICOM was constructed via homologous recombination *in vivo* between the parental fowlpox DNA and a plasmid vector that contains the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. The plasmid vector also carries the *E. coli lacZ* gene, which was simultaneously inserted into the recombinant genome with the CEA(6D), LFA-3, ICAM-1, and B7.1 genes. A chromogenic assay for β -galactosidase, encoded by the *lacZ* gene, was used to select the final vaccine candidate, which was verified by genomic and protein expression analysis. The recombinant virus was then used to generate a master virus stock, which was characterized by genomic and protein expression analysis and by testing for potency, sterility, mycoplasma, and reverse transcriptase activity. All test results have supported the identity and safety of the recombinant virus for use in vaccine production.

[0217] The generation of recombinant fowlpox viruses is accomplished via homologous recombination *in vivo* between fowlpox DNA and a plasmid vector that carries the heterologous sequences to be inserted. The plasmid vector contains one or more chimeric genes, each comprising a poxvirus promoter linked to a protein coding sequence, flanked by viral sequences from a non-essential region of the fowlpox virus genome. The plasmid is transfected into cells infected with the parental fowlpox virus, and recombination between fowlpox sequences on the plasmid and the corresponding DNA in the viral genome results in the insertion into the viral genome of the chimeric genes on the plasmid.

[0218] The plasmid vector (pT2187) used for insertion of the CEA(6D), LFA-3, ICAM-1, and B7.1 genes into the parental fowlpox virus genome by *in vivo*

recombination is illustrated in Figure 5. This vector contains the following elements: (1) a prokaryotic origin of replication to allow amplification of the vector in a bacterial host; (2) the gene encoding resistance to the antibiotic ampicillin, to permit selection of prokaryotic host cells that contain the plasmid; (3) DNA sequences homologous to the BamH I J region of the fowlpox genome, which direct insertion of foreign sequences into this region via homologous recombination; (4) a chimeric gene comprising the vaccinia 40K transcriptional promoter linked to the CEA(6D) gene; (5) a second chimeric gene comprising the vaccinia 30K transcriptional promoter linked to the LFA-3 gene; (6) a third chimeric gene comprising the vaccinia I3 transcriptional promoter linked to the ICAM-1 gene; (7) a fourth chimeric gene comprising the sE/L transcriptional promoter linked to the B7.1 gene; (8) a fifth chimeric gene comprising the fowlpox C1 transcriptional promoter linked to the *E. coli lacZ* gene.

[0219] The plasmid backbone, including the bacterial origin of replication and the ampicillin resistance gene, was derived from the plasmid vector pUC8 by deletion of a 442 base pair (bp) Hae II fragment containing the pUC8 polylinkers and *lacZ* gene. A linker containing a single BamH I site was inserted in the unique Nde I site in this vector to facilitate additional cloning. The fowlpox BamH I J sequences (Jenkins et al., 1991) were isolated from genomic DNA prepared from the POXVAC-TC vaccine strain (Schering Corporation) of fowlpox virus. Sequences from the BamH I J region that flank the CEA(6D), LFA-3, ICAM-1, B7.1 and *lacZ* genes include an 850 bp BamH I - Bgl II fragment upstream of the 40K-CEA(6D) sequence and a 750 bp Bgl II - Xba I fragment downstream of the C1-*lacZ* sequence. The 40K promoter element was isolated as a 161 bp Dra I - FnuD II fragment from the Hind III H region (Rosel et al., 1986) of vaccinia virus strain WR (Panicali et al., 1981). The 30K (M2L) promoter element was isolated as a 415 bp Sal I-Rsa I fragment from the Hind III M region of the vaccinia genome (Goebel et al., 1990). The I3 promoter element was isolated by polymerase chain reaction (PCR) amplification of a 201 bp sequence immediately 5' to the translation initiation codon of the I3 gene (Schmitt et al., *J. Virol.* 62:1889-97 (1988)). The sE/L promoter was isolated as a 60 bp Hind III-Sal I fragment from pJS-8, a derivative of pSC65 (Chakrabarti et al., 1997). The C1 promoter element was isolated as a 240 bp Sau3A I fragment from fowlpox virus

(Jenkins et al., 1991). The *E. coli lacZ* gene was isolated as a 3100 bp BamH I fragment from pDP500 (Panicali et al., 1986).

[0220] CEA sequences were isolated from a human cDNA clone from a colon carcinoma cell cDNA library constructed at the National Cancer Institute (Kaufman et al., 1991). The CEA gene was then altered by *in vitro* mutagenesis to express full-length protein containing one modified epitope. This mutation changed the encoded amino acid at position 609 from asparagine to aspartic acid (where the amino acids are numbered beginning at the first methionine, including the leader sequence). The modified gene, designated CEA(6D), was designed to enhance the immunogenicity of CEA. The CEA(6D) gene was contained on a 2109 bp fragment which includes the entire coding sequence for CEA and none of the 5' or 3' untranslated region. The gene encoding LFA-3 was isolated at the National Cancer Institute by PCR amplification of Human Spleen Quick-Clone cDNA (Clontech Inc.) using the published sequence (Wallner et al., 1987). The gene was contained on a 759 bp fragment which includes the entire coding sequence for LFA-3, 2 nucleotides of the 5' untranslated region, and 4 nucleotides of the 3' untranslated region. The gene encoding ICAM-1 was isolated at the National Cancer Institute by PCR amplification of cDNA reverse-transcribed from RNA from an Epstein-Barr Virus-transformed B cell line derived from a healthy male, using the published sequence (Staunton et al., 1988). The gene was contained on a 1721 bp fragment which includes the entire coding sequence for ICAM-1, 29 nucleotides of the 5' untranslated region, and 93 nucleotides of the 3' untranslated region. The gene encoding B7.1 was isolated at the National Cancer Institute by PCR amplification of cDNA derived from RNA from the human Raji cell line (ATCC # CCL 86), using the published sequence (Chen et al., 1992). The gene was contained on a 1180 bp fragment which includes the entire coding sequence for B7.1, 22 nucleotides of the 5' untranslated region, and 291 nucleotides of the 3' untranslated region.

[0221] The structure of the plasmid transfer vector was verified by restriction endonuclease digestion. In addition, the products of digestion were subjected to Southern blot analysis using labeled probes corresponding to the CEA(6D), LFA-3, ICAM-1, and B7.1 genes and to the fowlpox BamH I J sequences. The DNA fragments visualized by these methods were of the predicted sizes, and the presence

of the CEA(6D), LFA-3, ICAM-1, and B7.1 genes was unequivocally demonstrated, thus confirming the predicted structure of the plasmid.

[0222] A plaque-purified isolate from the POXVAC-TC vaccine strain of fowlpox virus was used as the parental virus for this recombinant vaccine. *In vivo* recombination between the plasmid vector and the viral DNA resulted in the formation of a recombinant virus in which the CEA(6D) gene, under the transcriptional control of the vaccinia 40K promoter, the LFA-3 gene, under the transcriptional control of the vaccinia 30K promoter, the ICAM-1 gene, under the transcriptional control of the vaccinia I3 promoter, the B7.1 gene, under the transcriptional control of the sE/L promoter, and the *lacZ* gene, under the control of the C1 promoter, were inserted into the BamH I J region of the fowlpox virus genome, as illustrated in Figure 6.

[0223] To identify and isolate recombinant viruses containing the *lacZ*, CEA(6D), LFA-3, ICAM-1, and B7.1 sequences, the chromogenic β -galactosidase method (Bluo-GalTM) described in Example 1, above, was used.

[0224] As described in detail above in Example 1, the host cell line used for the preparation of the rF-CEA(6D)/TRICOM recombinant virus was the African green monkey kidney cell line CV-1.

[0225] rF-CEA(6D)/TRICOM was manufactured, including in-process and final product safety testing, by infection of primary chicken embryo dermal (CED) cells with the recombinant virus, as described in detail in Example 1.

EXAMPLE 4: PANVACTM-F

[0226] PANVAC-F consists of a live recombinant fowlpox virus that co-expresses a modified (wobbled) form of MUC-1, wMUC-1(6), a modified (wobbled) form of carcinoembryonic antigen, wCEA(6D), leukocyte function associated antigen-3 (LFA-3), intercellular adhesion molecule-1 (ICAM-1), and B7.1. The nucleotide sequence of wobbled MUC-1 used in PANVAC-F, also known as wMUC-1(6), is shown in Figure 7 as SEQ ID NO:1; the corresponding amino acid sequence of wMUC-1(6) is shown in Figure 8 as SEQ ID NO:2. The nucleotide sequence of wobbled CEA used in PANVAC-F, also known as wCEA(6D), is shown in Figure 9

as SEQ ID NO:3; the corresponding amino acid sequence of wCEA(6D) is shown in Figure 10 as SEQ ID NO:4.

[0227] The generation of recombinant fowlpox viruses is accomplished via homologous recombination *in vivo* between fowlpox DNA and a plasmid vector that carries the heterologous sequences to be inserted. As described above, the plasmid vector contains one or more chimeric genes, each comprising a poxvirus promoter linked to a protein coding sequence, flanked by viral sequences from a non-essential region of the fowlpox virus genome. The plasmid is transfected into cells infected with the parental fowlpox virus, and recombination between fowlpox sequences on the plasmid and the corresponding DNA in the viral genome results in the insertion into the viral genome of the chimeric genes on the plasmid.

[0228] For the generation of the PANVAC-F recombinant vaccine, two plasmid vectors were used. The first plasmid, designated pT1154, directs insertion of the wCEA(6D) and wMUC-1(6) coding sequences into the FP14 region of the fowlpox virus genome. The second plasmid, designated pT8150, directs insertion of the LFA-3, ICAM-1, and B7.1 coding sequences (collectively known as TRICOM) into the BamH I J region of the fowlpox virus genome. The wCEA(6D) gene is under the transcriptional control of the vaccinia 40K promoter. The wMUC-1(6) gene is under the transcriptional control of the synthetic early/late (sE/L) promoter. The LFA-3 gene is under the transcriptional control of the vaccinia 30K promoter, the ICAM-1 gene is under the transcriptional control of the vaccinia I3 promoter, and the B7.1 gene is under the transcriptional control of the synthetic early/late (sE/L) promoter. In addition, pT1154 contains the *E. coli lacZ* gene, under the control of the vaccinia 40K promoter, which is included as a screen for recombinant progeny, and pT8150 contains the *GUS* gene, under the control of the 7.5 promoter, for use in screening for recombinant progeny.

[0229] A plaque-purified isolate from the POXVAC-TC vaccine strain of fowlpox virus was used as the parental virus for this recombinant vaccine. *In vivo* recombination between the plasmid vectors and the viral DNA resulted in the formation of a recombinant virus in which sequences from pT1154 were inserted at FP14, and sequences from pT8150 were inserted at the BamHI J region, as illustrated in Figure 11.

[0230] PANVAC-F has been used in conjunction with PANVAC-V in an ongoing clinical trial for the treatment of patients with metastatic (Stage IV) adenocarcinoma of the pancreas. The results and further description of this trial are described below in Example 11.

EXAMPLE 5: PANVAC™-V

[0231] PANVAC-V consists of a live recombinant vaccinia virus that co-expresses a modified (wobbled) form of MUC-1, wMUC-1(6), a modified (wobbled) form of carcinoembryonic antigen, wCEA(6D), leukocyte function associated antigen-3 (LFA-3), intercellular adhesion molecule-1 (ICAM-1), and B7.1. The nucleotide sequence of wobbled MUC-1 used in PANVAC-V, also known as wMUC-1(6), is shown in Figure 7 as SEQ ID NO:1. The sequence of wobbled CEA used in PANVAC-V, also known as wCEA(6D), is shown in Figure 9 as SEQ ID NO:3.

[0232] For the generation of the PANVAC-V recombinant vaccine, a newly developed procedure was used that results in the isolation of a recombinant virus that contains the desired foreign genes, but does not contain genes encoding selectable markers. This procedure takes advantage of the genetic instability of duplicated sequences within the poxvirus genome, resulting in the deletion of nucleotide sequences located between the duplicated sequences. Using this procedure, recombinant viruses initially contain the *E.coli lacZ* gene along with the foreign genes of interest. These recombinant viruses are identified and purified using a colorimetric screen for the *lacZ* gene product. During subsequent propagation of the recombinant viruses, intramolecular recombination between duplicated sequences flanking the *lacZ* gene results in the deletion of this gene, leaving a recombinant virus that contains only the genes of interest.

[0233] A derivative of the Wyeth (New York City Board of Health) strain of vaccinia was used as the parental virus for this recombinant vaccine, called TBC-vTRICOM. This parental virus, designated TBC-vTRICOM, contains the LFA-3, ICAM-1, and B7.1 coding sequences inserted in the Hind III F region of the vaccinia genome. Figure 14 shows the derivation of TBC-vTRICOM. First, the Wyeth vaccine was plaque purified by Flow Laboratories and then expanded on CV-1 cells, to create TBC-Wy. Next, plasmid pT1068 was inserted using CV-1 cells, to delete

F13L (37K), creating TBC-Wy-Delta37. LFA-3, ICAM-1, B7.1 and F13L were then inserted into this virus using plasmid pT5132 on CED cells, creating TBC-vTRICOM.

[0234] A plasmid vector designated pT1153, that directs insertion of modified CEA and MUC-1 coding sequences into the Hind III J region of the TBC-vTRICOM virus genome, was used to generate the PANVAC-V recombinant vaccine. The modified CEA gene, designated wCEA(6D), is under the transcriptional control of the vaccinia 40K promoter, and the modified MUC-1 gene, designated wMUC-1(6), is under the transcriptional control of the synthetic early/late (sE/L) promoter. The plasmid also contains a portion of the vaccinia Hind III J region that encodes the thymidine kinase (TK) gene. In addition, the *E. coli lacZ* gene, under the control of the vaccinia 40K promoter, is included as a transient screen for recombinant progeny. Recombination between the plasmid vector and the viral DNA resulted in the formation of a recombinant virus in which the wCEA(6D) gene, under the transcriptional control of the vaccinia 40K promoter, the wMUC-1(6) gene, under the transcriptional control of the sE/L promoter, and the *lacZ* gene, under the control of the 40K promoter, were inserted into the Hind III J region of the vaccinia virus genome, as illustrated in Figure 12.

[0235] The *lacZ* gene is flanked by repeated sequences for transient *lacZ* selection. The repeated sequence consists of the entire 161 bp vaccinia 40K promoter, described above.

[0236] CEA sequences were isolated from a human cDNA clone from a colon carcinoma cell cDNA library constructed at the National Cancer Institute (Kaufman et al., *Int. J. Cancer* 48:900-7 (1991)). The CEA gene was then altered by *in vitro* mutagenesis to express full-length protein containing one modified epitope. This mutation changed the encoded amino acid at position 609 from asparagine to aspartic acid (where the amino acids are numbered beginning at the first methionine, including the leader sequence). The modified gene, designated CEA(6D), was designed to enhance the immunogenicity of CEA.

[0237] The plasmid vector (pT1153) used for insertion of the modified CEA and MUC-1 coding sequences into the TBC-vTRICOM parental vaccinia virus genome is illustrated in Figure 13. This vector contains the following elements: (1) a prokaryotic origin of replication to allow amplification of the vector in a bacterial

host; (2) the gene encoding resistance to the antibiotic ampicillin, to permit selection of prokaryotic host cells that contain the plasmid; (3) DNA sequences homologous to the Hind III J region of the vaccinia genome, which direct insertion of foreign sequences into this region via homologous recombination; (4) a chimeric gene comprising the vaccinia 40K transcriptional promoter linked to the *lacZ* gene; (5) a second chimeric gene comprising the 40K transcriptional promoter linked to the wCEA(6D) gene; (6) a third chimeric gene comprising the sE/L transcriptional promoter linked to the wMUC-1(6) gene.

[0238] The plasmid backbone, including the bacterial origin of replication and the ampicillin resistance gene, designated pAG3, was derived from the plasmid vector pUC8 (Vieira, Gene 19:259-268(1982)) by deletion of a 442 base pair (bp) Hae II fragment containing the pUC8 polylinkers and *lacZ* gene. A linker containing a single Hind III site was inserted in the unique Nde I site in this vector to facilitate additional cloning. The vaccinia Hind III J sequences and the vaccinia promoter sequences were isolated from genomic DNA prepared from the vaccinia WR strain (Panicali, J. Virol. 37:1000-1010(1981)) or from TBC-Wy. Sequences from the Hind III J region that flank the *lacZ*, CEA and MUC-1 genes comprise a 508 bp Dra I-EcoR I fragment upstream of the 40K-*lacZ* sequence and a 633 bp EcoR I-Dra I fragment downstream of the sE/L-MUC-1 sequence. The 40K promoter element was isolated as a 161 bp Dra I - FnuD II fragment from the vaccinia virus Hind III H region (Rosel, 60:436-449 (1986)). The sE/L promoter was isolated as a 60 bp Hind III-Sal I fragment from pJS-8, a derivative of pSC65 (Chakrabarti, BioTechniques 23:1094-1097(1997)). The *E. coli* *lacZ* gene was isolated as a 3100 bp BamH I fragment from pDP500 (Panicali, 47:193-199 (1986)).

[0239] The chromogenic assay for β -galactosidase was used to identify and isolate recombinant viruses containing the *lacZ*, CEA and MUC-1 sequences, as described above in Example 1.

[0240] The inserted *E. coli* *lacZ* gene was flanked by duplicated pox virus sequences. Intramolecular recombination between these sequences resulted in deletion of the *lacZ* gene. Recombinant viruses from which the *lacZ* gene was deleted gave rise to colorless plaques which were selected and plaque-purified. The final purified recombinant pox virus contained only the desired genes encoding the CEA,

MUC-1, LFA-3, ICAM-1 and B7.1 proteins and no marker (*lacZ*) gene. Positive recombinants were amplified on CED cells to produce a seed stock. The seed stock was then subjected to titration, sterility testing, and genomic and protein expression analysis.

EXAMPLE 6: Pan 1-1

[0241] In the Phase I study, patients with unresectable pancreatic cancer were administered an admixture of rV-CEA(6D)/TRICOMTM (see Example 2, above) with rV-MUC-1 (see Example 1, above), followed by rF-CEA(6D)/TRICOM (see Example 3, above), at doses expected to generate relevant immune and clinical responses with minimal toxicity, based on previous studies. Accordingly, the Phase 1 study delivered a 'prime' dose of 1×10^8 pfu rV-CEA(6D)/TRICOMTM admixed with 1×10^8 pfu rV-MUC-1 subcutaneously (SC), followed 2 weeks later by a 'boost' dose of 1×10^9 pfu rF-CEA(6D)/TRICOMTM administered SC. 'Boosts' of 1×10^9 pfu rF-CEA(6D)/TRICOMTM administered SC were repeated at two week intervals, for a total of three 'boosts'. Recombinant human sagramostim (100 μ g) was given SC at the site of the vaccine injection as an adjuvant, at the time of each immunization and for three consecutive days thereafter. Patients were seen at each vaccination visit and four weeks following the final 'boost' for physical examination and collection of laboratory data and adverse event information.

[0242] The results of the Pan1-1 Phase I study are described below in Example 11.

EXAMPLE 7: Additional Vaccines

[0243] Using the procedures set forth in Examples 1 – 6, a range of vaccine vectors can be generated. Preferred insertion sites in fowlpox and vaccinia vectors, including MVA vectors, are described above. Preferred fowlpox insertion sites are 43K, FP14 and the Long Unique Sequence (LUS). Preferred vaccinia insertion sites are MVA 44/45; MVA 49/50 and MVA 124/125.

[0244] For example, the vaccine vector of Example 2 (rV-CEA(6D)/TRICOM) can be modified to exclude the CEA antigen, thus generating rV-TRICOM. This may include murine sequences (i.e. rV-TRICOM-murine) or human sequences (i.e. rV-

TRICOM-human). Similarly, in another example, the vaccine vector of Example 3 (rF-CEA(6D)/TRICOM) can be modified to exclude the CEA antigen, thus generating rF-TRICOM, which may be human or murine sequences.

[0245] In another example, the vector of Example 4 (PANVAC-F) may be prepared with murine sequences as well as human sequences. The PANVAC antigens, wMUC-1(6), wCEA(6D), LFA-3, ICAM-1, and B7.1 may also be included in an MVA vector at sites MVA 44/45, MVA 49/50, and MVA 124/125.

[0246] For example, a recombinant fowlpox virus designated rF-MUC-1 contains the nucleic acid encoding human MUC-1 gene or alternatively one or more repeat regions of the human MUC-1 as described above (SEQ ID NO: 1), under the control of the 40K promoter and has been described (Grosenbach, D. W., Barrientos, J. C., Schlom, J. and Hodge, J. W., *Cancer Res* 61, 4497-505, 2001). The recombinant fowlpox virus containing the nucleic acid encoding human MUC-1 and the murine B7-1, ICAM-1, and LFA-3 genes is designated rF-MUC-1-TRICOM is the same vector than that described by Grosenbach et al. only with MUC-1 antigen instead of CEA antigen (Grosenbach, D. W., Barrientos, J. C., Schlom, J. and Hodge, J. W., *Cancer Res* 61, 4497-505, 2001). The recombinant fowlpox virus designated rF-OX40L was generated by insertion of the murine OX40L gene under the control of the 40K promoter into the genome of rF-CEA at the FP14 site in the genome. The OX40L cDNA was amplified from anti-CD40/anti-IgM-activated murine B cells by RT-PCR using primers specific for the 5'-
(dGGTACCGGTACCATGGAAGGGGAAGGGTTC) (SEQ ID NO:5) and the 3'-
(dCTCGAGCTGAGTCACAGTGGTACTTGGTTC) (SEQ ID NO:6) ends of the open reading frame. The cDNA was cloned into a poxvirus transfer vector. Sequence analysis confirmed that no mutations were introduced in the cloning process. The recombinant fowlpox virus designated rF-MUC-1-TRICOM/OX40L was generated by insertion of the murine OX40L gene under the control of the 40K promoter into the genome of rF-TRICOM at the FP14 site in the genome. Both recombinant fowlpox viruses expressing OX40L were generated by methods previously described (Gritz, L., Destree, A., Cormier, N., Day, E., Stallard, V., Caiazzo, T., Mazzara, G. and Panicali, D., *J Virol* 64, 5948-57, 1990). These viruses also express the human MUC-1 gene and the bacterial beta-galactosidase gene.

[0247] The recombinant fowlpox virus designated rF-CEA contains the nucleic acids encoding human CEA gene, or any antigenic repeat thereof as shown in SEQ ID NO: 2, under the control of the 40K promoter and has been described (Grosenbach, D. W., Barrientos, J. C., Schlom, J. and Hodge, J. W., *Cancer Res* 61, 4497-505, 2001). The recombinant fowlpox virus containing the human CEA gene and the murine B7-1, ICAM-1, and LFA-3 genes is designated rF-TRICOM throughout this study and has been described (*Id.*). The recombinant fowlpox virus designated rF-OX40L was generated as described above. The recombinant fowlpox virus designated rF-CEA-TRICOM/OX40L was generated by insertion of the murine OX40L gene under the control of the 40K promoter into the genome of rF-TRICOM at the FP14 site in the genome. Both recombinant fowlpox viruses expressing OX40L were generated by methods previously described (Gritz, L., Destree, A., Cormier, N., Day, E., Stallard, V., Caiazzo, T., Mazzara, G. and Panicali, D., *J Virol* 64, 5948-57, 1990). These viruses also express the human CEA gene and the bacterial β -galactosidase gene.

EXAMPLE 8: Immune Response to TAAs Expressed by Recombinant Pox Viruses in a Murine Model

[0248] To evaluate immune responses to recombinant pox viruses expressing CEA or MUC-1, mice were immunized with recombinant viruses expressing these antigens alone or in combination with murine homologs of B7.1 or of the three costimulatory molecules that comprise murine TRICOM (muB7.1, muTRICOMTM, respectively). The use of murine costimulatory molecules in these studies allowed assessment of the effect of costimulation in the development of T cell responses.

[0249] In one experiment, the effect of muB7.1 on immune responses elicited by vaccinia-expressed MUC-1 was evaluated. One group of C57BL/6 mice was vaccinated with an admixture of rV-MUC-1 and non-recombinant vaccinia virus (10^7 pfu of each virus) on days 0, 14, and 28. The second group of mice was vaccinated with an admixture of rV-MUC-1 and rV-muB7.1 (10^7 pfu of each virus) on day 0, and then with an admixture of rV-MUC-1 and non-recombinant vaccinia virus (10^7 pfu of each virus) on days 14 and 28. The mice were euthanized on day 35 and cytotoxic T cell activity was assessed in a standard indium release assay. MUC-1-specific CTL were detected in both groups; however, the per cent specific lysis was approximately

1.5-fold higher in mice that received the rV-MUC-1/rV-muB7.1 admixture. (Akagi et al., *J Immunother.* 20:38-47 (1997))

[0250] Another experiment compared the effects of muB7.1 and muTRICOM™ on immune responses elicited by vaccinia-expressed CEA. In this study, C57BL/6 CEA-transgenic mice, which express human CEA with a tissue distribution similar to that of humans, were vaccinated with one of three immunogens: (1) rV-CEA; (2) rV-CEA admixed with rV-muB7.1; or (3) rV-CEA/muTRICOM. Animals were sacrificed on day 22 and CEA-specific lymphoproliferative responses were measured. Mice immunized with rV-CEA/muTRICOM™ had two-fold greater responses than those vaccinated with rV-CEA + rV-muB7.1 and four-fold greater responses than those vaccinated with rV-CEA alone. A number of additional studies evaluated immune responses to pox virus-expressed CEA in mice. (Hodge et al., *J. Natl. Cancer Inst.* 92: 1228-39 (2000); Hodge et al., *Cancer Research* 59: 5800-07 (1999)).

EXAMPLE 9: Anti-Tumor Activity of Vaccines Expressing Costimulatory Molecules in Murine Models

[0251] Murine models allow not only measurement of immune responses elicited by cancer vaccines, but also evaluation of prophylactic or therapeutic anti-tumor effects stimulated by these vaccines. For example, to evaluate the ability of pox virus-based vaccines to protect against tumor challenge, mice were immunized by tail scarification with an admixture of rV-CEA and rV-muB7.1. When immunized mice were challenged with CEA-expressing MC-38 cells, tumors failed to establish, indicating that immunization had given rise to protection from tumor challenge. This protection was accompanied by corresponding CEA-specific T cell responses (Hodge et al., *Cancer Res* 55:3598-3603 (1995)). Similar results were reported after mice were immunized with a single recombinant vaccinia virus that expressed both CEA and muB7.1 (*Kalus*, 1999). Subsequently, the admixture (rV-CEA + rV-muB7.1) was compared to the dual gene construct (rV-CEA/muB7.1) in a tumor protection model. Both the admixture and the single recombinant elicited antitumor responses; however, higher doses of the admixed viruses were required to obtain immune responses comparable to those obtained using the single recombinant.

[0252] Successful protection using muB7.1 led to studies evaluating combinations of costimulatory molecules. In another tumor challenge experiment, C57BL/6 mice were vaccinated SC with either rV-CEA or rV-CEA/muTRICOM™, then challenged 100 days later with MC-38 colon carcinoma cells that expressed CEA. All mice vaccinated with rV-CEA succumbed to tumors while all mice vaccinated with rV-CEA/muTRICOM™ survived tumor challenge. T cell responses were also significantly higher in animals vaccinated with rV-CEA/muTRICOM (Hodge et al., *Cancer Research* 59: 5800-07 (1999)).

[0253] In the previously described tumor protection studies, CEA, although expressed on a murine tumor cell line, represented a foreign antigen in the vaccinated mice. In order to determine whether similar anti-tumor responses could be elicited in a setting in which CEA represents a "self" antigen, tumor immunotherapy studies were conducted using CEA-transgenic mice. In one experiment, animals were inoculated first with CEA-expressing MC-38 cells, then immunized four days later with rV-CEA, rV-CEA/muB7.1, or rV-CEA/muTRICOM™. Only mice that received the rV-CEA/muTRICOM™ remained tumor-free. These mice also had the highest CEA-specific T cell responses (Hodge et al., *Cancer Research* 59: 5800-07 (1999)).

[0254] In a more rigorous immunotherapy model, CEA-transgenic mice with established CEA-positive hepatic carcinoma metastases were treated by weekly vaccination for four weeks with rV-muCEA/TRICOM™ plus murine GM-CSF and IL-2. Of the sixteen treated mice, nine (56%) remained alive through 25 weeks. By contrast, in the control group (which received non-recombinant vaccinia plus cytokines), only one of nineteen (5%) survived past 16 weeks (*Grosenbach, 2001*).

EXAMPLE 10: TRICOM™ Expression in Antigen-Presenting Cells in a Murine Model

[0255] Dendritic cells (DCs) are key participants in the activation of both CD4+ and CD8+ T lymphocytes. The degree of T cell activation appears to be related, at least in part, to the level of expression of certain costimulatory molecules on DCs. Murine DCs infected with rV-muTRICOM or rF-muTRICOM™ were shown to significantly enhance naïve T cell, allogenic T cell, and peptide-specific T cell proliferation *in vitro*. Furthermore, peptide-pulsed DCs infected with rV- or rF-muTRICOM™ induced higher CTL activity *in vivo* than did the corresponding

uninfected peptide-pulsed DCs (Hodge et al., *J Natl Cancer Inst.* 92:1228-1239 (2000)).

[0256] Similar results have been obtained using human DCs. The origin and the stage of maturity of human DCs affect the levels of costimulatory molecules exhibited by these cells. Zhu and coworkers have demonstrated that several hours after infection with rF-TRICOM™, human DCs efficiently hyperexpress all three costimulatory molecules (Zhu, 2001). In addition, peptide-pulsed autologous DCs infected with rF-muTRICOM™ were more potent in activating T cells *in vitro* than uninfected peptide-pulsed DCs, as measured by interferon-gamma production after 24 hours of incubation. These results were obtained using peptides from both strong and weak viral antigens as well as peptides from self, tumor-associated antigens (CEA and PSA). Enhanced T cell activation was not accompanied by increased T cell apoptosis.

[0257] The potency of other antigen-presenting cells (APCs) such as bone marrow progenitor cells (BMPCs) can also be increased using pox virus vectors expressing TRICOM™ (Rad, 2001). Murine BMPCs infected with rV- or rF-muTRICOM™ significantly enhanced the activation of both naïve and effector CD4+ and CD8+ T cell populations. A generic APC population, murine splenocytes, could also be rendered more efficient at antigen presentation by infection with either of the TRICOM™ vectors (Hodge et al., *Vaccine* 19:3552-3567 (2001)). Infected splenocytes required less concanavalin A (acting as signal 1) to activate naïve T cells. Moreover, when a constant amount of concanavalin A was used for the assay, fewer infected splenocytes were required for activation of T cells. TRICOM™-infected splenocytes also induced greater antigen-specific T cell activation than did uninfected splenocytes, approaching levels achieved with uninfected DCs as measured by interferon-gamma production.

EXAMPLE 11: Clinical Trial Data

[0258] PANVAC-VF was designed to stimulate the immune system to target and destroy cancer cells expressing two proteins (or antigens), carcinoembryonic antigen (CEA) and mucin-1 (MUC-1), found on over 90 percent of pancreatic tumor cells. Therion's vaccines were administered via subcutaneous injection in a "prime-boost" fashion, employing vaccinia as the priming vector, followed by sequential doses with

a fowlpox vector, as described below. The vaccines also incorporate TRICOM, designed to enhance and sustain a targeted immune response against tumor cells.

[0259] Two open-label Phase I clinical studies have been conducted: PAN 1-1 and TBC-PAN-002, each with the primary objective of safety. In summary, the studies enrolled a total of 22 patients with advanced (Stage III or IV) pancreatic cancer, 20 of whom had metastatic disease (Stage IV); all had received prior chemotherapy. Based on a review of multiple Phase III studies involving other chemotherapeutics, the expected median overall survival of this patient population is approximately 3 months.

PAN 1-1

[0260] PAN 1-1 was an open-label study to evaluate the safety and tolerability of rV-CEA(6D)/TRICOM admixed with rV-MUC-1 followed by rF-CEA(6D)/TRICOM in combination with sagramostim in the treatment of patients with adenocarcinoma of the pancreas. The study population included patients ≥ 18 years of age who had histologically confirmed adenocarcinoma of the pancreas, whose disease was unresectable in the opinion of the investigator, and who had an ECOG performance status of ≤ 2 .

[0261] Patients received a priming dose of 1×10^8 pfu rV-CEA(6D)/TRICOM admixed with 1×10^8 pfu rV-MUC-1 SC on Day 0, followed by a boosting dose of 1×10^9 pfu rF-CEA(6D)/TRICOM SC on Days 14, 28, and 42. Sagramostim (100 μ g) was given SC at the injection site on the day of each vaccine administration and for three consecutive days thereafter. At the discretion of the Investigator, patients were allowed to continue into an extension treatment phase following Day 70. Three patients entered the extension phase; the study was completed on 11 December 2003 following withdrawal of the final patient.

[0262] Safety parameters evaluated during the course of this study included medical history, vital signs, physical examination, laboratory tests (hematology, chemistry, and urinalysis), ECGs, ECOG performance status and documentation of concomitant medications.

[0263] Twelve patients enrolled into the study and received the priming vaccination. Two of these twelve patients were replacements for patients who

prematurely withdrew from the study for reasons other than toxicity. Nine patients completed the full course of treatment per protocol (one priming and three boosting injections). Five patients completed the final follow-up visit (Day 70: 28 days following the last dose). Thus, of the original planned 40 vaccinations (ten patients; four vaccinations each), 42 vaccinations were administered to the 12 patients enrolled in this study. Three of these patients entered into the extension phase and received monthly vaccinations for an additional five-month period. Thus, for the three patients enrolled in the extension phase, each received a total of nine vaccinations over an approximately seven-month period (Core Phase plus Extension Phase).

[0264] Based on the available data, rV-CEA(6D)/TRICOM, rV-MUC-1, and rF-CEA(6D)/TRICOM in combination with sagramostim were well tolerated. There were no dose-limiting toxicities (DLTs) or serious adverse events causally related to the treatment regimen and no patient has withdrawn due to a DLT, inoculation-related event, or other adverse event related to the treatment. Adverse reactions causally related to the treatment regimen were limited to grade 1 and 2 events associated with local cutaneous injection site reactions and systemic events of fatigue, chills and fever.

[0265] As noted above, at the end of the 70-Day Core Treatment Phase, three patients entered into the Extension Phase of the Study. These three patients received five additional boosts of rF-CEA(6D)/TRICOM and were subsequently withdrawn from the study due to disease progression. No patients remain in the study. Twenty-one AEs, possibly or definitely related to vaccine, were reported in the three patients during the five-month period of the Extension Phase. All AEs related to the vaccine [rF-CEA(6D)/TRICOM] were of grade 1 severity. The most common AE was grade 1 erythema at the injection site following the monthly boosts. These data, although in a small number of patients, suggest that continued monthly boosts with fowlpox-based vaccines are well tolerated for more than 6 months.

[0266] All patients enrolled in Study PAN 1-1 continue to be followed for survival data. Eight of the 12 patients enrolled in Study PAN 1-1 have died. The median overall survival (OS) is 7.9 months. Of note, all of these patients had metastatic disease at baseline and all had failed first-line chemotherapy. Based on historical control data, the expected median OS in this patient population is

approximately 3 - 4 months (Heinemann, Semin Oncol. 2002 Dec;29(6 Suppl 20):9-16). Although the sample size is small and the analysis is limited by comparison to historical control data, these data are encouraging.

TBC-PAN-002

[0267] Clinical Trial Protocol TBC-PAN-002 was a Phase I open-label study to evaluate the safety and tolerability of PANVAC-VF in combination with sagramostim in the treatment of patients with adenocarcinoma of the pancreas. The study population includes patients \geq 18 years of age who have histologically confirmed adenocarcinoma of the pancreas, whose disease is unresectable in the opinion of the investigator and who have a Karnofsky Performance Status of \geq 80. Study patients must also have received prior vaccinia (smallpox immunization) and must be expected to live at least 4 months.

[0268] For TBC-PAN-002, patients received a 'prime' dose of 2×10^8 pfu PANVAC-V SC on Day 0, followed by a 'boost' dose of 1×10^9 pfu PANVAC-F SC on Days 14, 28, and 42. sagramostim (100 μ g) is given SC at the injection site on the day of each vaccine administration and for three consecutive days thereafter.

[0269] Following Day 70, at the discretion of the Investigator, patients who were clinically stable were allowed to enter into an Extension Phase of continued vaccinations. Patients in the Extension Phase received monthly boosts of PANVAC-F at 1×10^9 pfu with sagramostim monthly until disease progression, as determined by the Investigator. During the Extension Phase, safety data will continue to be collected.

[0270] Safety parameters evaluated during the course of this study include medical history, vital signs, physical examination, laboratory tests (hematology, chemistry, urinalysis), and concomitant medications. Safety is assessed by examining these parameters and tabulating treatment-emergent adverse events occurring between baseline and Days 14, 28, 42, and 70. In addition, ECG readings were collected at baseline, Day 28, and Day 70, and an assessment of Karnofsky Performance Status was determined at baseline, Day 28 and Day 70. Out-of-range laboratory test results or other events not assessed as clinically significant changes from baseline are not reported as adverse events.

[0271] The most common AE (43%) related to the vaccines was injection site reaction at the site of vaccine administration. These AEs were all grade 1 and included erythema, swelling, pruritis, blister, induration, and pain. Notably, of the ten administrations of vaccinia virus (PANVAC-V), there were three grade 1 AEs (blister, erythema, and pruritis). Of note, all vaccinations in this study were administered subcutaneously (and will be in all future studies of PANVAC-VF). These data demonstrate that the AE profile related to subcutaneous administration of the vaccine is significantly more benign than the AE profile following intradermal administration by scarification. Intradermal administration of vaccinia is classically associated with the formation of vesicles, pustules, and scars, all of which may contain infectious virus. Thus, although injection sites were not cultured in the current study, the AE profile following PANVAC-V vaccination suggests that PANVAC-V may not be shed from the patient following vaccination. Administration of PANVAC-F was more commonly associated with injection site reactions. Of the 28 PANVAC-F vaccinations administered to the 10 patients, 20 were associated with AEs, and 15 of these 20 (75%) were limited to grade 1 erythema.

[0272] The majority of AEs related to the vaccine (63 of 74; 85%) were of grade 1 severity. There were ten grade 2 AEs related to the vaccine (five fatigue, three headache, one vomiting, one nausea). There was 1 grade 3 fever which was a dose-limiting toxicity (see below). Following injection site reactions, the most common AEs were fatigue, anorexia, nausea, vomiting, fever, headache, and myalgia. It is possible that several of these AEs may reflect a vaccine-related syndrome.

[0273] Twenty-three serious adverse events (SAEs) have been reported in five patients. All SAEs were classified as not related to the vaccine by the investigators. Three of the events (liver dysfunction/failure, pancreatic cancer disease progression, and recurrence of pneumonia) resulted in death. One patient experienced a Grade 3 Fever DLT which resolved without sequelae: this patient received the prime vaccine injection on Day 0, and boost vaccinations on Days 14 and 28. Sagramostim was administered per protocol following the Day 0 and Day 14 vaccinations. On the evening of Day 28, following the second administration of PANVAC-F and one administration of sagramostim, the patient noted a temperature of 104.5° F, which was a grade 3 reaction and a DLT, as the investigator classified this event as definitely

related to vaccine. The patient was treated with 500 mg acetaminophen orally, and the event resolved without sequelae. This patient continued on the Study.

[0274] Based on the available preliminary data, PANVAC-VF in combination with sagramostim appears to be well tolerated and no clinically significant, serious adverse events causally related to the treatment regimen have thus far been observed. The median OS is 6.3 months.

TBC-PAN-003

[0275] Clinical Trial Protocol TBC-PAN-003 is a Phase III randomized, controlled study to evaluate the safety and efficacy of PANVAC-VF in combination with sargamostim versus best supportive care or palliative chemotherapy in patients with metastatic (Stage IV) adenocarcinoma of the pancreas who have failed a gemcitabine-containing chemotherapy regimen. The protocol for treatment of patients in this clinical trial follows the protocol for TBC-PAN-002, described above.